## BRIGHT METAL-POOR STARS FROM THE HAMBURG/ESO SURVEY. II. A CHEMODYNAMICAL ANALYSIS

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#### ABSTRACT

We obtain estimates of stellar atmospheric parameters for a previously published sample of 1777 relatively bright (9 < B < 14) metal-poor candidates from the Hamburg/ESO Survey. The original Frebel et al. analysis of these stars was only able to derive estimates of [Fe/H] and [C/Fe] for a subset of the sample, due to limitations in the methodology then available. A new spectroscopic analysis pipeline has been used to obtain estimates of  $T_{\text{eff}}$ , log g, [Fe/H], and [C/Fe] for almost the entire dataset. This sample is very local – about 90% of the stars are located within 0.5 kpc of the Sun. We consider the chemodynamical properties of these stars in concert with a similarly local sample of stars from a recent analysis of the Bidelman & MacConnell 'weak-metal' candidates by Beers et al. We use this combined sample to identify possible members of the suggested halo stream of stars by Helmi et al. and Chiba & Beers, as well as stars that may be associated with stripped debris from the putative parent dwarf of the globular cluster Omega Centauri, suggested to exist by previous authors. We identify a clear increase in the cumulative frequency of carbon-enhanced metal-poor (CEMP) stars with declining metallicity, as well as an increase in the fraction of CEMP stars with distance from the Galactic plane, consistent with previous results. We also identify a relatively large number of CEMP stars with kinematics consistent with the metal-weak thick-disk population, with possible implications for its origin.

Keywords: stars: abundances – stars: Population II – stars: stellar dynamics – stars: weak-line – Galaxy: kinematics and dynamics – Galaxy: stellar content – Galaxy: structure

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#### 1. INTRODUCTION

There have been numerous recent studies of the disk system of the Milky Way, primarily based on data from the Sloan Digital Sky Survey (SDSS; York et al. 2000), in particular the SEGUE (Yanny et al. 2009) and APOGEE

(Majewski et al. 2015) sub-surveys, as well as from the Radial Velocity Experiment (RAVE; Steinmetz et al. 2006; Kordopatis et al. 2013) and the Gaia-ESO survey (Gilmore et al. 2012; Guiglion et al. 2015). Beers et al. (2014) and Guiglion et al. (2015) summarize the pertinent papers, to which the interested reader is referred. Most of these papers model the Galactic disk system in terms of a superposition of a thin disk, a thick disk, and (in some cases) a metal-weak thick disk (MWTD). The series of papers from Bovy and collaborators, culminating with Bovy et al. (2015), has taken a different approach. These authors use abundance information ([Fe/H] and  $[\alpha/Fe]$ ) for large samples of red-clump stars measured with APOGEE to model the radial and vertical structure of the disk in terms of mono-abundance populations (MAPs), and demonstrate that this technique captures the relevant observations without invoking a separation of stellar populations. Because the MAPs are based on red-clump stars, they do not include any stars with [Fe/H] < -1.0, and so are not suitable for exploring issues relating to the MWTD, which Beers et al. (2014) have argued is a potentially separate component of the disk system that has yet to be explored in detail. The recent paper by Kawata & Chiappini (2016) emphasizes that the jury is still out concerning the separability of the thin disk and thick disk – these authors argue that the scheme of chemically dividing the disk system on the basis of the  $[\alpha/\text{Fe}]$  ratio, pioneered by Lee et al. (2011a,b), is, for now, the most practical approach.

One of the first large spectroscopic samples of stars in the disk system was originally reported on by Frebel et al. (2006, hereafter Paper I). These stars, selected from partially saturated objective-prism spectra from the Hamburg/ESO survey (HES; Wisotzki et al. 2000; Christlieb 2003) with 9 < B < 14, formed the basis of an early effort to identify bright metal-poor halo stars in the Galaxy. Due to flaws in the selection procedure, the great majority of these stars turned out to have metallicities more typical of the disk system rather than the halo. Even so, the star HE 1327-2326, which was first identified in this effort, turned out to have one of the lowest iron abundance known (HE 1327-2326, with [Fe/H] = -5.45; Frebel et al. 2005; Aoki et al. 2006), only recently surpassed by SMSS J031300.36-670839.31, with [Fe/H] < -7.8 (Keller et al. 2014; Bessell et al. 2015). This paper was also the first to suggest an increase in the fraction of carbon-enhanced metal-poor (CEMP; Beers & Christlieb 2005) stars with distance from the Galactic plane, which was later confirmed with much larger samples of stars from SDSS (Carollo et. al 2012).

A substantial fraction of very low metallicity stars in the halo of the Milky Way have been found to be CEMP stars. Beers & Christlieb (2005) originally divided such stars into several sub-classes, depending on the nature of their neutron-capture element abundance ratios – CEMP-s, CEMP-r, CEMP-r/s, and CEMP-no<sup>1</sup>. As discussed by these authors, and many since, the observed differences in the chemical signatures of the subclasses of CEMP stars are thought to arise due to dif-

ferences in the astrophysical sites responsible for the nucleosynthesis products they now incorporate in their atmospheres, including elements produced by the very first generations of stars.

At the time Paper I was published, the authors could only obtain estimates of [Fe/H] and [C/Fe] from their spectra for those stars with [Fe/H] < -1.0, due to nascent saturation of the Ca II K line. This limitation precluded a comprehensive investigation of the disk system including stars over the full range of expected metallicities. Over the course of the past decade, we have developed and tested new spectroscopic tools (primarily for application to SDSS stellar spectra – the SEGUE Stellar Parameter Pipeline; SSPP) that are useful for the analysis of stars over wide ranges of [Fe/H] (see, e.g., Lee et al. 2008a). In this paper, we employ a modification of the SSPP that can be used for spectra of similar resolving power, and with input broadband V, B - V and/or J, J - K photometry, to obtain estimates of the stellar atmospheric parameters  $T_{\text{eff}}$ , log g, and [Fe/H], as well as [C/Fe] abundance ratios, for most of the stars in the Paper I sample. Similarly determined quantities from the local sample of 'metal-weak' candidates from Bidelman & MacConnell (1973), reported on recently by Beers et al. (2014), is analyzed in concert with the Paper I sample.

This information (in combination with well-determined radial velocities and with available accurate proper motions) is employed to carry out a detailed examination of the kinematics of the combined sample, identify stars that are possible members of the halo stream/trail of stars by Helmi et al. (1999) and Chiba & Beers (2000), as well as stars that may be associated with stripped debris from the putative parent dwarf of the globular cluster Omega Centauri ( $\omega$  Cen), suggested to exist by Dinescu (2002), Klement et al. (2009), and Majewski et al. (2012). We identify a clear increase in the cumulative frequency of CEMP stars as a function of declining metallicity, as well as an increase in the fraction of CEMP stars with distance from the Galactic plane, as quantified by the maximum distances reached during the course of their orbits,  $Z_{\text{max}}$ , both consistent with previous results. We also identify a number of CEMP stars that are apparently associated with the MWTD, with implications for its origin. Finally, we make use of the Yoon-Beers diagram of A(C) vs. [Fe/H] (Figure 1 of Yoon et al. 2016) to sub-classify the relative small number of CEMP stars in the combined sample with available kinematic information (36 stars) into likely CEMP-s and CEMPno stars, and show that their distributions of  $Z_{\text{max}}$  differ, in the sense that the CEMP-s stars appear to be preferentially associated with the inner-halo population, while the CEMP-no stars are more likely to be associated with the outer-halo population, similar to the previous claim of Carollo et al. (2014).

#### 2. SAMPLE STARS AND ADOPTED PHOTOMETRY

Paper I describes the original motivations and selection of the bright candidate metal-poor stars from the HES, to which the interested reader is referred for details. Unfortunately, the original candidate selection was confounded by the (known) saturation effects on the derived estimates of approximate B-V to such a degree that numerous stars were included that later turned out to be more metal-rich than hoped for. In spite of this

 $<sup>^{1}</sup>$  CEMP-s: [C/Fe]>+1.0, [Ba/Fe]> +1.0, and [Ba/Eu]>+0.5 CEMP-r: [C/Fe] > +1.0 and [Eu/Fe]> +1.0 CEMP-r/s: [C/Fe] > +1.0 and 0.0 < [Ba/Eu] <+0.5 CEMP-no: [C/Fe] > +1.0 and [Ba/Fe] < 0.0

limitation, more than a hundred relatively bright very metal-poor (VMP; [Fe/H] < -2.0) stars were identified during the follow-up spectroscopy, which formed the basis for much of the analysis carried out in Paper I.

In the present paper, we re-analyze medium-resolution  $(R \sim 2000)$  spectroscopy of the sample of stars from Paper I (see Table 6 of Paper I for the telescope/spectrographs employed), using the n-SSPP spectroscopic pipeline described below. This new effort, which also incorporates a large amount of newly available broadband V, B-V photometry for the sample stars, enables determinations of stellar atmospheric parameters for the great majority of the Paper I sample, including stars with metallicities up to Solar and beyond (which was not previously possible), as well as refined estimates of [C/Fe] abundance ratios for most of the stars in this sample.

#### 2.1. Broadband Photometry and Reddening Estimation

Broadband V magnitudes and B-V colors for the majority of our program objects were obtained from the APASS database (Henden et al. 2015), supplemented by photometry from a number of sources as described in Paper I (primarily stars that were re-discoveries of metalpoor candidates from the HK survey; Beers et al. 1985, 1992). For stars that were of particular interest, i.e., those found in Paper I to have [Fe/H] < -2.0 or that exhibited enhanced carbon, we also make use of photometry reported by Beers et al. (2007). In a number of cases, we have also used photometry from the SIMBAD database. For stars with photometry available from multiple sources, we either used the data judged to be superior, or else averaged data expected to be of similar precision. Near-IR JHK photometry from the 2MASS catalog (Skrutskie et al. 2006) is available for all but a few stars in our sample.

Column (1) of Table 1 lists the star names, while columns (2) and (3) list other common names for the star and the HK Survey star name, respectively. The full set of coordinates for our program stars are provided in Paper I. Columns (4) and (5) list the Galactic longitude and latitudes for our program stars. The adopted V magnitude and B-V colors are provided in columns (6) and (7). The 2MASS J magnitude and J-K colors (only including stars without flags indicating potential problems in the listed values) are listed in columns (8) and (9).

In order to obtain absorption- and reddening-corrected estimates of the magnitudes and colors, respectively, we initially adopted the Schlegel et al. (1998) estimates of reddening listed in column (10) of Table 1. We have applied corrections to these estimates for stars with reddening greater than  $E(B-V)_S=0.10$ , as described by Beers et al. (2000). The corrected reddening estimates,  $E(B-V)_A$ , are listed in column (11).

# 3. RADIAL VELOCITIES, LINE INDICES, ATMOSPHERIC PARAMETERS, ABUNDANCE RATIOS, DISTANCES, AND PROPER MOTIONS

#### 3.1. Measurement of Radial Velocities and Line Indices

Radial velocities were (re-)measured for our program stars using the line-by-line and cross-correlation techniques described in detail by Beers et al. (1999) and references therein. In the process of carrying out this

exercise, we found that many of the new measurements differ (in some cases by large amounts) from those originally reported in Paper I, which apparently suffered from transcription difficulties during file exchanges between the authors. The current measurements supersede those values. The spectral resolution of our data is similar to that obtained for the majority of the HK Survey followup, thus we expect that the measured radial velocities should be precise to the same level (or better, given the higher signal-to-noise of our present spectra), on the order of 7-10 km s<sup>-1</sup> (one sigma). Column (1) of Table 2is the star name, while column (2) lists notes on the nature of a small number of stars that deviate from the majority (e.g., hot stars, sdB and WD stars, known variable stars, stars with emission lines, extremely late-type stars, etc.), which preclude their use in later analysis. We conservatively estimate that our medium-resolution velocities,  $RV_M$ , listed in column (3) of Table 2, are precise to  $10 \text{ km s}^{-1}$  (as validated below).

Roughly one-third of our program objects (614 stars) have had radial velocities determined from the RAVE survey, based on moderate-resolution  $(R \sim 7500)$  spectroscopy in the region of the Ca triplet from Data Release 4 (Kordopatis et al. 2013). The RAVE velocities should be more precise than those we obtained from our lowerresolution spectra (Kordopatis et al. 2013 demonstrate that the majority of the RAVE radial velocities are precise to better than 2 km s<sup>-1</sup>, with a tail going out to  $\sim 5 \text{ km s}^{-1}$ , and have small zero-point offsets relative to external catalogs). For our purpose we conservatively assume a precision of 5 km s<sup>-1</sup> for the RAVE velocities (validated below). We adopt the RAVE velocities for our subsequent analysis, except in cases where flags were raised in the DR4 database indicating potential problems (including possible binary membership). The available RAVE velocities are listed as  $RV_R$  in column (4) of Table 2. In order to weed out stars with inaccurate RAVE velocities, we have indicated stars with flags suggesting potential problems with parentheses around them. We still adopt these radial velocities for our analysis if they are within 20 km s<sup>-1</sup> of the listed RV $_M$  value. If the RAVE velocities differ by more than this amount, and had flags raised, we assume that the  $RV_M$  estimates are superior. We indicate such cases by brackets around the listed  $RV_R$  values. In some instances there are no flags raised, but the RAVE radial velocities differ from our medium-resolution results by more than  $20 \text{ km s}^{-1}$ ; in such cases we assume the RAVE estimates are superior, and adopt them.

There are 148 stars in our sample (mostly VMP stars, or stars of interest for other reasons, such as carbon enhancement) for which radial velocities based on high-resolution spectroscopy are available, either in the published literature or based on more recent unpublished observations we are aware of. These are listed as RV<sub>H</sub> in column (5) of Table 2. We adopt these measurements (when available), with assumed errors of 2 km s<sup>-1</sup>, even in the few cases where they disagree by more than 20 km s<sup>-1</sup> with either the RV<sub>M</sub> or RV<sub>R</sub> velocities. Unrecognized binarity may be responsible for a number of these discrepancies.

Figure 1 (left-hand column) compares the mediumresolution velocities,  $RV_M$ , with the high-resolution ra-

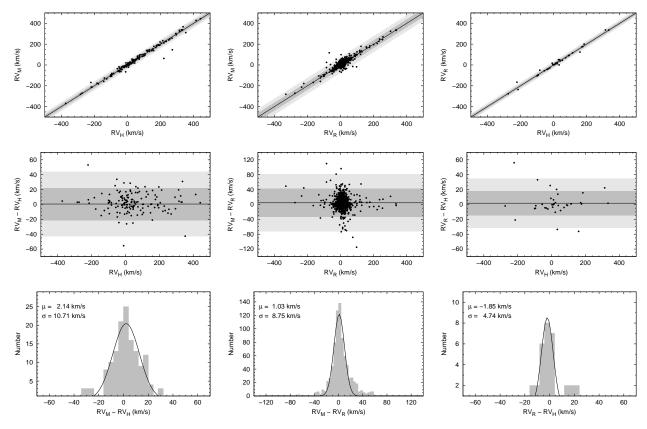


Figure 1. Radial velocity comparison for the program stars (RV<sub>M</sub>), RAVE (RV<sub>R</sub>), and high-resolution (RV<sub>H</sub>). Upper panels: Comparison between the radial velocities. The solid line is the one-to-one line, and the shaded areas represent the  $1\sigma$  and 2- $\sigma$  intervals around this line (where  $\sigma$  represents the scatter in the residuals shown in the lower panels. Middle panels: Residuals between each pair of measurements. The horizontal solid line is the average of the residuals, while the darker and lighter shaded areas represent the 1- $\sigma$  and 2- $\sigma$  regions, respectively. Lower panels: Histogram of the residuals in the radial-velocity determinations. The values of the mean offset and scatter are the parameters from the Gaussian fit shown.

dial velocities,  $RV_H$ , while the middle column of panels compares the  $RV_M$  velocities with the moderateresolution RAVE velocities,  $\mathrm{RV}_R$  (excluding the rejected cases). The right-hand column of panels compares results of the RAVE velocities with the high-resolution velocities. As can be appreciated from inspection of this figure, there is generally very good agreement between the different sources of radial velocity. The middle row of panels shows the residuals in radial velocity for each comparison, with dark gray and light gray regions indicating the  $1-\sigma$  and  $2-\sigma$  ranges, respectively. Maximum-likelihood fits to the residuals in radial velocity for each comparison are shown in the lower panels of each column. The  $RV_M$  vs.  $RV_H$  residuals exhibit a scatter of 10.7 km s<sup>-1</sup> and a small zero-point offset; the RV<sub>M</sub> vs. RV<sub>R</sub> residuals exhibit a scatter of 8.8 km s<sup>-1</sup> and a similarly small offset. The  $\mathrm{RV}_R$  vs.  $\mathrm{RV}_H$  residuals exhibit a scatter of  $4.7 \text{ km s}^{-1}$  and a small offset. Assuming our adopted estimate of the  $2 \text{ km s}^{-1}$  precision for the high-resolution radial velocities, our results indicate that the RAVE radial velocities are precise to  $4.2 \text{ km s}^{-1}$  (note that this comparison emphasizes metal-poor stars for which the RAVE velocities are expected to be somewhat less precise than for more metal-rich stars). Adopting this value for the scatter in the RAVE velocities, we estimate that the medium-resolution velocities have a precision of 7.7 km s<sup>-1</sup>. When compared to the high-resolution velocities, we estimate that the medium-resolution velocities have a precision of 10.5 km s $^{-1}$ . These values justify our adopted estimates for the kinematic analysis carried out below –  $\sigma_{\rm RV_R}=5~{\rm km~s^{-1}}$  and  $\sigma_{\rm RV_M}=10~{\rm km~s^{-1}},$  for the RAVE and medium-resolution velocities, respectively.

For each star, the measured (geocentric) radial velocities are used to place a set of fixed bands for the derivation of line-strength indices, which are pseudo-equivalent widths of prominent spectral features. We employ a subset of the bands listed in Table 1 of Beers et al. (1999). Although we do not make use of them in the present analysis, others may choose to, so we list line indices for prominent spectral features in each of our program stars in columns (6)-(8) of Table 2. A number of our stars have had more than one spectrum obtained during the course of our follow-up observations. From a comparison of the stars with repeated measurements, we estimate that errors in the line indices on the order of 0.1 Å are achieved. Note that our line indices are identical to those reported in Paper I.

 $<sup>^2</sup>$  A complete discussion of the choice of bands, the "bandswitching" scheme, and the Balmer line index, HP2, which measures the strength of the H $\delta$  lines, is provided in this reference as well.

### 3.2. Stellar Atmospheric Parameter Estimates and Abundance Ratios

In a series of papers, Lee et al. (2008a), Lee et al (2008b), Allende Prieto et al. (2008), Lee et al. (2011a), and Smolinski et al. (2011) describe the development, testing, and validation of the SSPP software, which has been used to determine atmospheric parameter estimates for over 500,000 stars from the SDSS and its extensions. Although the spectra of our program stars do not reach as far red as SDSS spectra (and hence we cannot use as many of the independent methods as the SSPP enables), they are of a similar resolving power. We have thus modified the SSPP to accept input from our program spectra, which span spectral ranges of 3600-4400 Å, 3600-4800 Å, or 3600-5250 Å, depending on the telescope/spectrograph that was used to acquire them. We have also implemented the use of input V, B - V (and/or 2MASS) J, J - K) photometric information, rather then requiring SDSS ugriz inputs. This new approach, known as the n-SSPP (for "non-SEGUE" Stellar Parameter Pipeline), makes use of a subset of previously calibrated methods from the SSPP (those that apply to the available wavelength range of the input spectra) to obtain estimates of the fundamental stellar parameters  $T_{\rm eff}$ , log g, and [Fe/H]. For spectra that extend sufficiently red-ward to include the CH G-band at  $\sim 4300$  Å, and/or the Mg I feature at  $\sim 5175 \,\text{Å}$ , the n-SSPP can obtain estimates of [C/Fe] and  $[\alpha/\text{Fe}]^3$  as well (if spectra are of sufficiently high signal-to-noise, S/N). The n-SSPP has already been applied by Beers et al. (2014) to medium-resolution spectra of stars from the sample of Bidelman & MacConnell (1973) stars studied by Norris et al. (1985). The interested reader should consult this paper for additional information on the operation of the n-SSPP.

We apply the n-SSPP to the sample of 1777 stars from Paper I. Unfortunately, the S/N ratios for the spectra of our program stars that extend to wavelength regions that include the Mg I feature are not generally high enough to enable confident estimation of this abundance ratio (Lee et al. 2011a recommend S/N > 20 or 25, the latter applying to stars with [Fe/H] < -1.4), hence we do not report  $[\alpha/\text{Fe}]$  for our program stars.

The n-SSPP estimates of stellar atmospheric parameters are listed in columns (9)-(11) of Table 2 as Teff<sub>S</sub>,  $\log_S$ , and  $[Fe/H]_S$ , respectively. Although, according to the tests described by Lee et al. (2008a,b) and Allende Prieto et al. (2008), the external accuracy of the SSPP parameter estimates are expected to be on the order of 150 K, 0.30 dex, and 0.25 dex for  $T_{\rm eff}$ ,  $\log g$ , and [Fe/H], respectively, these are based on the availability of SDSS ugriz and the full spectral coverage associated with SDSS spectroscopy, neither of which apply to the present data. We provide an independent test of our expected parameter errors below.

Lee et al. (2013) describes the procedures adopted to estimate [C/Fe] for SDSS/SEGUE spectra, based on spectral matching against a dense grid of synthetic spectra; these techniques, with different input photometric information, also apply to the n-SSPP. We have recently expanded the carbon grid to reach as low as [C/Fe]

= -1.5, rather than the limit of [C/Fe] = -0.5 employed by Lee et al. (2013). According to Lee et al., the precision of the [C/Fe] estimates is better than 0.35 dex for the parameter space and S/N ratios explored by SDSS/SEGUE spectra. We expect improved results for application of the n-SSPP to our program spectra, based on their generally higher signal-to-noise (which typically exceed S/N  $\sim 50$  in the region of the CH G-band). We note that Beers et al. (2014) concluded that the n-SSPP determination of [C/Fe] for similar S/N spectra as our current program achieved a precision (based on empirical comparisons with high-resolution spectroscopic analyses) of  $\sim 0.20$  dex.

Table 3 lists the medium-resolution estimates of the [C/Fe] abundance ratios ("carbonicity") for our program stars in column (3), indicated as [C/Fe] $_S$ . For convenience, we have also listed the n-SSPP estimate of  $[Fe/H]_S$  in column (2). Column (4) indicates whether the listed measurement is considered a detection, DE-TECT = "D," lower limit "L," upper limit "U," or a non-detection, "X," indicating that the star is either too hot (or cool) for carbon to be measured from the CH Gband, or that the star does not have a reference metallicity determination. Column (5) provides the correlation coefficient, CC, obtained between the observed spectrum and the best-matching [C/Fe] from the model grids, and column (6) lists the equivalent width of the CH G-band. EQW. For an acceptable measurement of this ratio, we demand DETECT = "D,"  $CC \ge 0.7$ , and  $EQW \ge 1.2$ . The latter restriction insures that stars with very weak carbon features are not spuriously assigned values by the grid search procedure. See Lee et al. (2013) for further discussion of these quantities. Stars for which either CC or EQW does not meet the minimum value are indicated by a colon attached to the DETECT parameter in column (4). There are 1491 stars listed in Table 3 for which acceptable measurements of [C/Fe] are obtained – 1422 are listed as detections, 58 as upper limits, and 11 as lower limits.

#### 3.3. Comparison to Moderate- and High-Resolution Spectroscopic Analyses

external measurements of stellar There are atmospheric-parameter estimates for 707 stars in our sample from the RAVE DR4 catalog (Kordopatis et al. 2013), and another 104 stars for which atmospheric parameter estimates based on high-resolution analyses are available from a variety of sources, including the SAGA database (Suda et al. 2008, 2011; Yamada et al. 2013) and Frebel (2010), as well as the references listed in the PASTEL catalogue (Soubiran et al. 2010)<sup>4</sup>, supplemented by other determinations that have appeared in more recent studies, or unpublished results from co-authors of this paper. We have adopted either the parameter estimates we judged to be superior, or, in some cases, took a straight average of the available

The external parameter estimates from RAVE are listed in columns (12)-(14) of Table 2 as Teff<sub>R</sub>, log  $g_R$ ,

 $<sup>^3</sup>$  This notation is usually defined by an average of [Mg/Fe], [Si/Fe], [Ca/Fe], and [Ti/Fe].

<sup>&</sup>lt;sup>4</sup> We are aware that an updated version of this catalogue is now available, Soubiran et al. (2016), but it was published after we completed the bulk of our analysis, and hence not used for this exercise.

and  $[Fe/H]_R$ , respectively. The high-resolution estimates are listed in columns (15)-(17) of this table as  $Teff_H$ , log  $g_H$ , and  $[Fe/H]_H$ , respectively.

Before carrying out comparisons with our own estimates, based on medium-resolution spectra, we first check for external parameter estimates that grossly differ from the estimates determined by the n-SSPP. In order for the external estimates to be considered commensurate with the n-SSPP estimates, reasonable agreement for effective temperature,  $T_{\rm eff}$ , is required, at a minimum. To implement this pre-filter, we demand that the estimated effective temperatures from the external comparisons are within 500 K of the n-SSPP estimates, and (in the case of RAVE) that there be no other indication of potential problems, such as flags raised in the RAVE DR4 catalog listing. This results in a total of 80 stars with RAVE estimates being marked as suspect, indicated in Table 2 with brackets around the individual parameter estimates. Only 9 stars with available high-resolution spectroscopic parameter estimates are suspect, by this criterion, and these are marked with parentheses around the individual parameter estimates in the table.

Beers et al. (2014) presented a similar analysis for the sample of 302 metal-poor candidates from Bidelman & MacConnell (1973) studied by Norris et al. (1985), for which roughly one-third of the sample had external estimates of stellar atmospheric parameters based on high-resolution spectroscopic analyses. Beers et al. used the sample of stars in common to derive empirical corrections to the n-SSPP parameter estimates, which they applied in order to place these estimates on a scale commensurate with the high-resolution work. For convenience of the reader, these corrections are listed below:

$$\begin{split} [\text{Fe/H}]_C &= [\text{Fe/H}]_S - (-0.232 \cdot [\text{Fe/H}]_S - 0.428) \quad (1) \\ \text{Teff}_C &= \text{Teff}_S - (-0.1758 \cdot \text{Teff}_S + 1062) \quad (2) \\ \log_C &= \log_S - (-0.237 \cdot \log_S + 0.523) \quad (3) \end{split}$$

The corrected n-SSPP estimates ( $Teff_C$ ,  $logg_C$ , and  $[Fe/H]_C$ ) for our program stars are listed in columns (18)-(20) of Table 2. Column (21) of this table lists our adopted type classifications, obtained as described below.

Figure 2 illustrates comparisons of  $Teff_C$ ,  $logg_C$ , and  $[Fe/H]_C$  for our program stars with the adopted highresolution results. Note that, with the exception of a few individual stars lying outside the 2- $\sigma$  bands shown in the left-hand panels, the agreement is quite satisfactory. Maximum-likelihood fits to the distributions of residuals between these various estimates are shown in the right-hand panels. Both the mean offsets ( $\Delta \text{ Teff}_C$ = 117 K,  $\Delta \log_C = 0.34 \, \text{dex}$ ,  $\Delta [\text{Fe/H}]_C = 0.05 \, \text{dex}$ ) and the scatter in the estimates ( $\sigma$  Teff<sub>C</sub> = 179 K,  $\sigma$  logg<sub>C</sub> = 0.65 dex,  $\sigma$  [Fe/H]<sub>C</sub> = 0.27 dex) are reasonably small. Taking into account the expected errors in the highresolution estimates of these parameters (125 K, 0.4 dex, and 0.2 dex, respectively), we conclude that the external precisions of the n-SSPP estimates of  $Teff_C$ ,  $logg_C$ , and  $[Fe/H]_C$  are on the order of 125 K, 0.5 dex, and 0.2 dex, respectively.

Figure 3 shows that the comparison of  $\operatorname{Teff}_C$ ,  $\log_C$ , and  $[\operatorname{Fe}/\operatorname{H}]_C$  with the (non-suspect) RAVE determinations are significantly worse for  $\operatorname{Teff}_C$ , but commensurate with the comparisons to the high-resolution results

for  $\log_C$  and  $[\mathrm{Fe/H}]_C$ . There are too few stars in common between the stars with both RAVE parameter estimates and high-resolution estimates to make meaningful comparisons.

Beers et al. (2014) also used literature values of [C/Fe], based on high-resolution spectroscopic analyses, to derive corrections for the n-SSPP estimates of [C/Fe], as:

$$[C/Fe]_C = [C/Fe]_S - (-0.068 \cdot [C/Fe]_S + 0.273)$$
 (4)

The corrected values are listed as  $[C/Fe]_C$  in column (8) of Table 3. This table also lists, in column (9), the absolute value of the carbon abundance,  $A(C) = \log \epsilon (C)^5$ . We assume, following Beers et al., that external errors for  $[C/Fe]_C$  are on the order of  $\sim 0.20$  dex.

The parameter CEMP, shown in column (10) of Table 3, indicates whether the star is considered carbon enhanced: CEMP = "C," satisfying  $[C/Fe]_C > +0.7$ , CC  $\geq 0.7$ , and EQW  $\geq 1.2$ ; of intermediate carbon enrichment: CEMP="I," satisfying  $+0.5 < [C/Fe]_C \leq +0.7$ , CC  $\geq 0.7$ , and EQW  $\geq 1.2$ ; or carbon normal: CEMP = "N," satisfying  $[C/Fe]_C \leq +0.5$ , CC  $\geq +0.7$ , and EQW  $\geq 1.2$ . Stars with upper limits on their carbon ratios are indicated with CEMP = "U," (these include stars with DETECT = "U," CC  $\geq +0.7$ , and DETECT = "D" but CC < +0.7). Stars without carbon measurements are listed as CEMP = "X". There are 48 stars listed with CEMP = "C," 29 with CEMP = "I," 1362 with CEMP = "N," and 116 with CEMP = "U".

#### 3.4. Distance Estimates and Proper Motions

Distances to individual stars in our sample are estimated using the  $M_V$  vs.  $(B-V)_0$  relationships described by Beers et al. (2000). These relationships require that the likely evolutionary stage of a star be given. Assignments to evolutionary stage, based on the derived (corrected) stellar atmospheric parameters, are as follows: dwarf, D (logg $_C \geq 4.0$ ), turnoff, TO (3.5  $\leq$  logg $_C < 4.0$ ), subgiant or giant, G (logg $_C < 3.5$ ). Note that refinements to this scheme, designed to resolve the possible incorrect assignments of TO stars at cooler temperatures, are adopted as described in Beers et al. (2012). Following Santucci et al. (2015), stars with effective temperature Teff $_C \geq 6000$  K and logg $_C \leq 3.5$  are classified as field horizontal-branch (FHB) stars.

Based on previous tests of this approach, we expect the distances assigned as described above to be accurate to on the order of 15%. Fortunately, there are a small number of stars (24) in our sample with reliable distance estimates available from Hipparcos parallax measurements, listed in Table 4, using the van Leeuwen (2007) reduction. Column (1) lists the star names, column (2) is the assigned evolutionary type, column (3) is the Hipparcos parallax,  $\pi_{\rm HIP}$ , column (4) is the error on parallax,  $\sigma_{\pi_{\rm HIP}}$ , and column (5) is the ratio  $\sigma_{\pi_{\rm HIP}/\pi_{\rm HIP}}$ . In order to be considered a reliable estimate of the parallax, this ratio is required to be less than 0.20. The parallax distance estimate and its error are listed as  $D_{\rm HIP}$  and  $\sigma_{\rm D_{\rm HIP}}$  in

 $<sup>^5</sup>$   $A({\rm C})$  is not measured directly, as it can be from high-resolution spectroscopy, but rather, it is obtained from medium-resolution determinations using  $A({\rm C}) = [{\rm C/Fe}] + [{\rm Fe/H}] + A({\rm C})_{\odot}$ , where we adopt the Solar abundance of carbon from Asplund et al. 2009,  $A({\rm C})_{\odot} = 8.43$ .

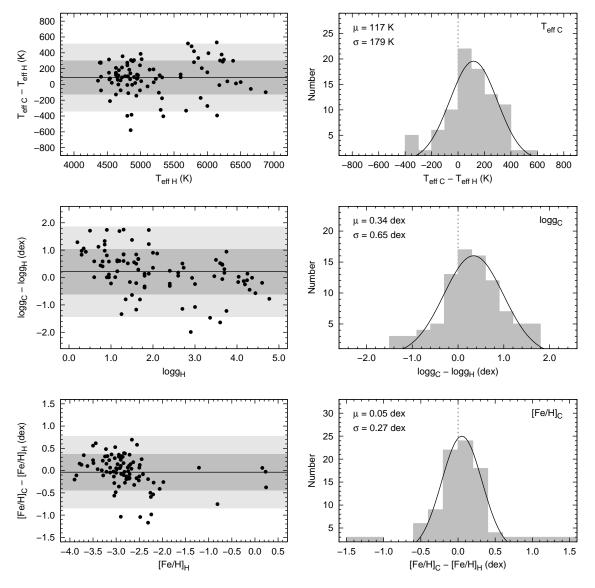


Figure 2. Left panels: Differences between the (corrected) atmospheric parameters determined by the n-SSPP,  $Teff_C$ ,  $logg_C$ , and  $[Fe/H]_C$ , and the values from analyses of high-resolution spectroscopy,  $Teff_H$ ,  $logg_H$ , and  $[Fe/H]_H$ , reported in the literature, as a function of the high-resolution spectroscopic values. Filled symbols refer to the program stars. The horizontal solid line is the average of the residuals, while the darker and lighter shaded areas represent the 1- $\sigma$  and 2- $\sigma$  regions, respectively. Right panels: Histograms of the residuals between the corrected n-SSPP and high-resolution parameters shown in the left panels. Each panel also lists the average offset and scatter determined from a Gaussian fit.

columns (6) and (7), respectively. The estimated photometric distances,  $D_{\text{pho}}$ , and their errors,  $\sigma_{D_{\text{pho}}}$ , are provided in columns (8) and (9), respectively.

Figure 4 presents a comparison of the distances calculated on the basis of the photometric estimates and Hipparcos parallaxes. From inspection of this figure, the great majority of the stars have commensurate distance estimates; the one-sigma scatter of the residuals varies between 10% and 20%, on the order of our adopted distance errors of 15%. The most deviant stars include one giant and one dwarf.

Table 5 lists star names in column (1), and the assigned type classifications, photometric distance estimates, and their errors, in columns (2)-(4), respectively.

The great majority of our program stars (1732 stars) have reasonably high-quality proper motions available from the UCAC4 catalog (Zacharias et al. 2013), the

SPM4 catalog (Girard et al. 2011) (321 stars), or the Hipparcos (van Leeuwen 2007) and Tycho II catalogs (Høg et al. 2000) (66 stars). We have chosen to adopt, where possible, the UCAC4 proper motions, since they exist for almost all of our stars, and generally have very small errors. The only exceptions are that, when proper motions are available from the Hipparcos or Tycho II catalogs, we adopt those. The final results are listed as  $\mu_{\alpha}$  and  $\mu_{\delta}$ , for the proper motions in the right ascension and declination directions, respectively, in columns (5) and (6) of Table 5. Their associated errors are listed in columns (7) and (8). The source of the adopted proper motion is listed in column (9). Note that, for a small number of stars for which some ambiguity exists as to which star of a listed pair is the one intended (generally those with "A," "B," or "F" appended to their names), we did not adopt any proper motions.

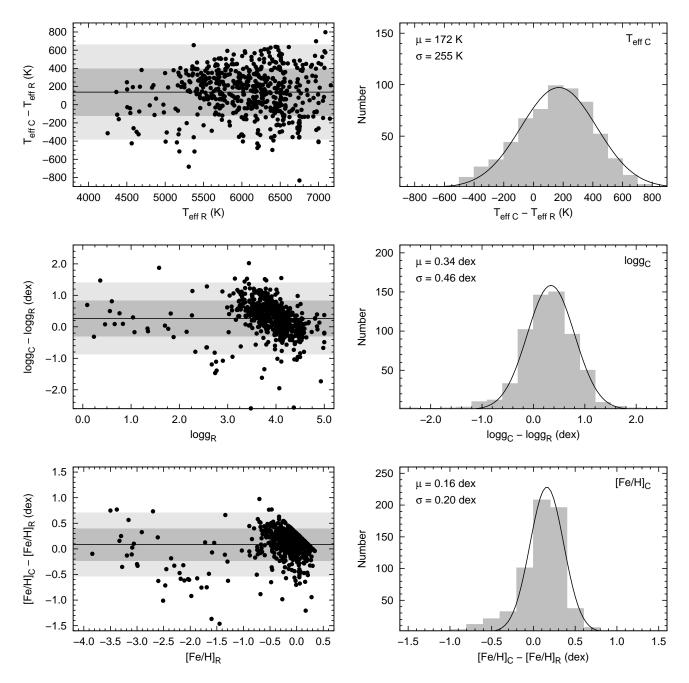


Figure 3. Left panels: Differences between the (corrected) atmospheric parameters determined by the n-SSPP,  $\operatorname{Teff}_C$ ,  $\log_C$ , and  $[\operatorname{Fe/H}]_C$ , and the values from RAVE,  $\operatorname{Teff}_R$ ,  $\log_R$ , and  $[\operatorname{Fe/H}]_R$ , reported in the literature, as a function of the RAVE spectroscopic values. Filled symbols refer to the program stars. The horizontal solid line is the average of the residuals, while the darker and lighter shaded areas represent the 1- $\sigma$  and 2- $\sigma$  regions, respectively. Right panels: Histograms of the residuals between the corrected n-SSPP and high-resolution parameters shown in the left panels. Each panel also lists the average offset and scatter determined from a Gaussian fit.

### 4. A KINEMATIC ANALYSIS OF THE COMBINED FREBEL ET AL. (2006) AND BEERS ET AL. (2014) SAMPLES

In this section we examine the kinematic properties of our program stars, in combination with a similar local sample of stars originally identified by Bidelman & MacConnell (1973) and discussed by Beers et al. (2014). The stellar parameter estimates and derived kinematic quantities of this latter sample were determined in an essentially identical manner as for our program stars, and they supplement the numbers of stars with lower metallicity for our subsequent analysis. The corrections

to the n-SSPP-derived atmospheric-parameter estimates and  $[\mathrm{C/Fe}]$  for our program stars are identical to those used by Beers et al. (2014). For simplicity, we drop the "C" subscript on the corrected stellar atmospheric parameters and the carbonicity estimates in the analysis that follows, although it is understood that these are the quantities we have adopted.

Figure 5 shows the distribution of the absorption-corrected  $V_0$  magnitudes, de-reddened  $(B-V)_0$  colors, distance estimates,  $D_{\rm pho}$ , and estimates of metallicities, [Fe/H], for our program stars from Paper I. As is im-

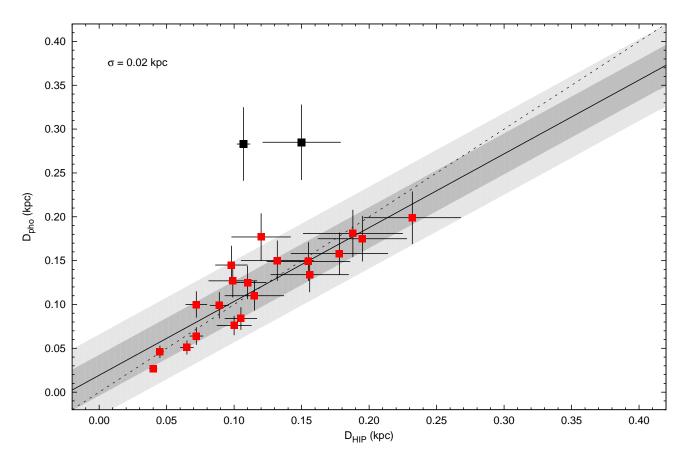
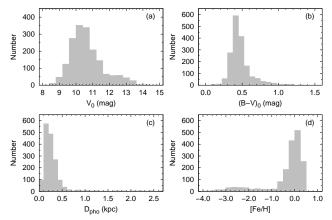


Figure 4. Comparison of the photometrically estimated distances,  $D_{\text{pho}}$ , with the trigonometric distance estimates,  $D_{\text{HIP}}$ , for stars with sufficiently accurate Hipparcos parallaxes ( $\sigma_{\pi_{\text{HIP}}}/\pi_{\text{HIP}} \leq 0.20$ ). The dashed line is the one-to-one line, while the solid line is a robust regression fit to the data. The darker and lighter shaded areas represent the 1- $\sigma$  and 2- $\sigma$  regions about the linear fit, respectively, based on a Gaussian fit to the residuals. The most deviant stars include one giant and one dwarf.



**Figure 5.** Distributions of (a) absorption-corrected V<sub>0</sub> magnitudes, (b) de-reddened  $(B-V)_0$  colors, (c) photometric distance estimates,  $D_{\rm pho}$ , and (d) metallicity estimates, [Fe/H], for our program stars.

mediately clear from inspection of this figure, this is a very local sample of stars, with  $\sim 90\%$  of the stars located within 0.5 kpc of the Sun. Although the majority of the sample stars have metallicities close to Solar, some 20% (351 stars) of the stars with available metallicity estimates have [Fe/H]  $\leq -0.5,\,14\%$  (248 stars) have [Fe/H]  $\leq -1.0,\,12\%$  (213 stars) have [Fe/H]  $\leq -1.5,\,$  and 10% (171 stars) have [Fe/H]  $\leq -2.0.$  Figure 6 of Beers et al. (2014) shows similar information for that sample.

As can be appreciated from inspection of that figure, these stars include a larger fraction of giants, which explore slightly farther from the Sun, up to 2 kpc (although  $\sim 90\%$  are within 1 kpc of the Sun). Unlike the Paper I stars, almost half of the supplemental sample (145 stars) have  $[Fe/H] \leq -1.0$ ; there are also 36 stars with  $[Fe/H] \leq -2.0$ ), which makes them useful for our exploration of the metal-poor populations of the Galaxy.

#### 4.1. Determination of U,V,W Velocity Components and Orbital Eccentricities for the Frebel et al. (2006) Sample

The derivation of space motions and orbital parameters of our program stars from Paper I follows the procedures described by Carollo et al. (2010), which for convenience are summarized below. Similar procedures were employed by Beers et al. (2014) for the supplemental stars; results are listed in Table 5 of that paper.

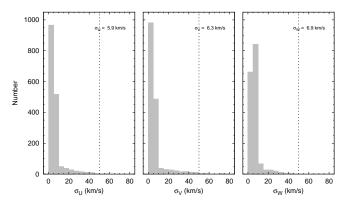
Corrections for the Solar motion with respect to the Local Standard of Rest (LSR) are applied during the course of the calculation of the full space motions; here we adopt the values (U, V, W) = (9, 12, 7) km s<sup>-1</sup> (Mihalas & Binney 1981). We follow the convention that U is positive in the direction away from the Galactic center, V is positive in the direction of Galactic rotation, and W is positive toward the north Galactic pole. It is also convenient to obtain the rotational component of a star's motion about the Galactic center in a cylindrical

frame, denoted as  $V_{\phi}$ , and calculated assuming that the LSR is on a circular orbit with a value of 220 km s<sup>-1</sup> (Kerr & Lynden-Bell 1986). Our assumed values of the Solar radius ( $R_{\odot} = 8.5$  kpc) and the circular velocity of the LSR are both consistent with two recent independent determinations of these quantities by Ghez et al. (2008) and Koposov et al. (2009). Bovy et al. (2012) obtained an estimate of the Milky Way's circular velocity at the position of the Sun of  $V_c(R_{\odot}) = 218 \pm 6$  km s<sup>-1</sup>, based on an analysis of high-resolution spectroscopic determinations from APOGEE, which is also consistent with our adopted value.

The orbital parameters of the stars, including the perigalactic distance (the closest approach of an orbit to the Galactic center),  $r_{\rm peri}$ , the apogalactic distance (the farthest extent of an orbit from the Galactic center),  $r_{\rm apo}$ , of each stellar orbit, and the orbital eccentricity, e, defined as  $e = (r_{\rm apo} - r_{\rm peri})/(r_{\rm apo} + r_{\rm peri})$ , as well as  $Z_{\rm max}$  (the maximum distance that a stellar orbit achieves above or below the Galactic plane), are derived by adopting an analytic Stäckel-type gravitational potential (which consists of a flattened, oblate disk, and a nearly spherical massive dark-matter halo; see the description given by Chiba & Beers 2000, Appendix A), and integrating their orbital paths based on the starting point obtained from the observations.

Table 6 provides a summary of the above calculations. Column (1) provides the star names. Columns (2) and (3) list the positions of the stars in the meridional (R, Z)-plane. The derived U, V, W velocity components are provided in columns (4)-(6); their associated errors are listed in columns (7)-(9). Column (10) lists the velocity projected onto the Galactic plane  $(V_R,$  positive in the direction away from the Galactic center), while column (11) lists the derived rotation velocity,  $V_{\phi}$ . The derived  $r_{\text{peri}}$  and  $r_{\text{apo}}$  are given in columns (12) and (13), respectively. Columns (14) and (15) list the derived  $Z_{\text{max}}$  and orbital eccentricity, e, respectively. The INOUT parameter listed in column (16) is set to 1 if the star is considered in our kinematic analysis, and set to 0 if not.

Errors on our derived estimates of the individual components of the space motions take into account an estimated 15% error in the photometric distances, as well as the individual errors in the proper motions (average errors on our adopted proper motions is 1.3 mas yr<sup>-1</sup> in each of the RA and DEC component directions), and in the adopted radial velocities (2 km s<sup>-1</sup> for the high-resolution determinations, 5 km  $s^{-1}$  for the moderate-resolution determinations, and  $10 \text{ km s}^{-1}$  for the medium-resolution determinations). Figure 6 shows the distributions of these errors. After removing the 145 stars that are missing one or more of the input quantities used for the determination of their space motions, or with individual estimated errors in any one of the three components of space motion larger than  $50 \text{ km s}^{-1}$ , the average errors for our program sample are  $\sigma(U, V, W)$ = (5.9, 6.3, 6.9) km s<sup>-1</sup>. These are slightly lower errors than were achieved for the supplemental stars from Beers et al. (2014) (after removing stars having errors in U, V, or W greater the 50 km s<sup>-1</sup>), who reported  $\sigma(U, V, W) = (7.9, 9.1, 6.5)$  km s<sup>-1</sup>, presumably due to the inclusion of more distant stars with less certain distances and proper motions.



**Figure 6.** Errors in the estimation of the local velocity components of the space motions for the Paper I stars. The vertical dashed lines at  $50 \text{ km s}^{-1}$  indicate the maximum individual errors allowed for a given star to be included in the subsequent kinematic analysis. The legends provide the mean errors for the accepted stars.

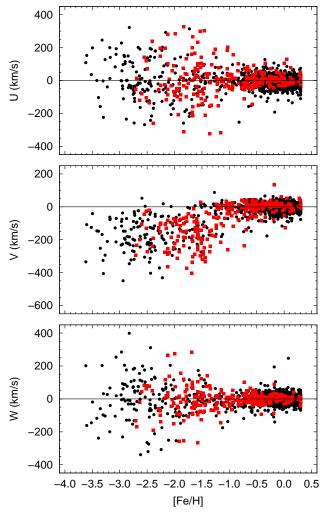
For the remaining analysis, we combine our program stars from Paper I with the supplemental sample based on the Beers et al. (2014) analysis of the 'weak metal' stars from Bidelman & MacConnell (1973). For the purpose of the kinematic analysis, both samples have had stars with errors in any of the U, V, W velocity components in excess of 50 km s<sup>-1</sup> removed from consideration.

#### 4.2. Distributions of U, V, W, and $Z_{\text{max}}$ vs. [Fe/H]

Figure 7 presents the individual components of the space motions, as a function of [Fe/H], for our combined sample with accepted kinematic estimates; the program stars from Paper I are shown as black dots, while the supplemental-sample stars are indicated as red squares. From inspection of this figure, the two samples cover similar ranges of [Fe/H], although in different proportion – the Paper I sample dominates above [Fe/H] = -1.0, the supplemental-sample stars exceed the Paper I stars in the metallicity interval -2.0 < [Fe/H] < -1.0 by about a factor of two, and the Paper I stars dominate the combined sample of stars with [Fe/H] < -2.0, in particular for [Fe/H] < -3.0. The combined sample is heavily populated by stars in the thin-disk and thick-disk stellar populations. Some low-metallicity stars with V velocities in the interval -40 to -80 km s<sup>-1</sup> are also present, and are likely associated with the MWTD.

Figure 8 is a plot of  $Z_{\rm max}$ , as a function of [Fe/H], for the combined sample of stars. From inspection of this figure, it is clear that both the Paper I and supplemental samples explore similar regions of this space, which further justifies carrying out a joint kinematic analysis. For the remainder of our analysis, we thus choose to suppress identification of the individual samples.

As seen in Figure 8, only a handful of stars with metallicities above [Fe/H] = -1.5 are found with  $Z_{\rm max} > 3$  kpc. Following previous results from, e.g., Carollo et al. (2010), stars with  $Z_{\rm max} \le 3$  kpc and  $-1.8 \le$  [Fe/H]  $\le -0.8$  are likely to be associated with the MWTD, although some overlap with the inner-halo population is not precluded, especially at the low end of this metallicity range. Further interpretation of the nature of the MWTD as an individual component is limited by the small numbers of stars, even in the combined sample, that are available in the pertinent metallicity interval.



**Figure 7.** Local velocity components for the combined sample of Paper I stars (shown as black dots) and the supplemental stars from Beers et al. (2014) (shows as red squares) with available UVW estimates, as a function of metallicity,  $[\mathrm{Fe/H}]$ . Note the existence of stars with low velocity dispersions in their estimated components down to at least  $[\mathrm{Fe/H}] = -1.3$ , and possibly a little lower. Stars with errors in any of the individual derived components of motion exceeding 50 km s<sup>-1</sup> are excluded.

#### 4.3. The [Fe/H] vs. Eccentricity Diagram

Figure 9 shows a plot of [Fe/H], as a function of orbital eccentricity, for the combined sample of stars. As has been seen previously (e.g., Norris et al. 1985; Chiba & Beers 2000; Carollo et al. 2007; Carollo et al. 2010; Beers et al. 2014), the distribution of orbital eccentricity for these non-kinematically-selected stars exhibits a very broad metallicity distribution, outside of the region of the metal-richest stars with  $e \leq 0.2-0.3$ , as expected from the currently favored hierarchical assembly model for the formation of the Milky Way.

# 4.4. The Toomre Diagram, Distribution of $V_{\phi}$ , Integrals of Motion, and the Lindblad Diagram

The so-called Toomre diagram (a plot of  $(U^2+W^2)^{1/2}$ , the quadratic addition of the U and W velocity components, as a function of the rotational component, V), the

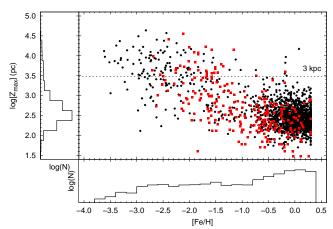
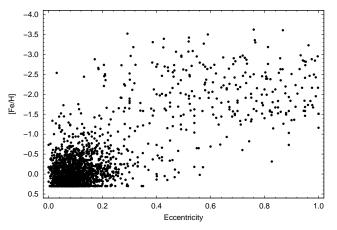


Figure 8. Distribution of  $Z_{\rm max}$ , the largest distance above or below the Galactic plane achieved by a star during the course of its orbit, as a function of metallicity, [Fe/H], for the combined sample of Paper I stars (shown as black dots) and the supplemental stars from Beers et al. (2014) (shows as red squares). The marginal distributions of each variable are shown as histograms. The horizontal dashed line provides a reference at 3 kpc. Very few stars with metallicity [Fe/H] > -1.5 achieve orbits that reach higher than this location. Note the logarithmic scale for  $Z_{\rm max}$ . Stars with errors in any of the individual derived components of motion exceeding 50 km s<sup>-1</sup> are excluded.



**Figure 9.** Distribution of metallicity, [Fe/H], for the combined sample of stars, as a function of derived orbital eccentricity. Stars with errors in any of the individual derived components of motion exceeding  $50~\rm km~s^{-1}$  are excluded.

distribution of orbital rotation velocity,  $V_{\phi}$ , for cuts in orbital eccentricity and [Fe/H], plots of the perpendicular angular momentum component,  $L_{L}$ , as a function of the vertical angular momentum component,  $L_{Z}$ , and the Lindblad diagram (a plot of the integral of motion representing the total energy, E, as a function of  $L_{Z}$ ) are commonly used to investigate the nature of the kinematics of stellar populations in the Galaxy. Given the high quality of the estimated kinematics for our combined sample of stars, it is worthwhile to investigate what can be learned from inspection of these diagrams, as discussed individually below.

#### 4.4.1. The Toomre Diagram

Figure 10 shows the Toomre diagram for the combined sample of stars; the legend indicates the metallicity intervals chosen to roughly separate stars expected to belong to the thick (or thin) disk ([Fe/H] > -0.8), the MWTD

 $(-1.8 < [Fe/H] \le -0.8)$ , and the halo system ([Fe/H]  $\leq -1.8$ ), taking our guidance in selecting these intervals from Carollo et al. (2010). As expected, the more metalrich stars in both samples are primarily found in the region with low  $(U^2 + W^2)^{1/2}$  and high orbital-rotation velocities,  $(U^2 + W^2)^{1/2} \lesssim 100 \text{ km s}^{-1}$ , -100 < V < 50km s<sup>-1</sup>, while stars with intermediate metallicities are divided between those inside and outside this region. We expect that many of the intermediate-metallicity stars inside this region are associated with the MWTD component. It is also clear from inspection of this figure that the lowest metallicity stars, with  $[Fe/H] \leq -1.8$ , are the dominant contributors to the distribution of stars in the higher-energy regions (those beyond the circle that intersects  $V = -300 \text{ km s}^{-1}$ ), as might be expected if they primarily comprise members of the outer-halo population, with some overlap from members of the inner-halo population. The stars with energies that place them between the  $V = -300 \text{ km s}^{-1}$  and  $V = -200 \text{ km s}^{-1}$  surfaces exhibit a broader range of metallicity, as expected from overlapping inner- and outer-halo populations.

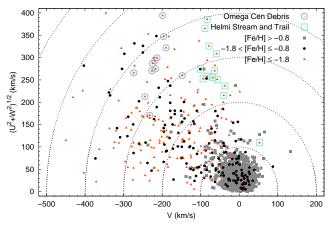


Figure 10. Toomre diagram of  $(U^2+W^2)^{1/2}$  vs. V for stars in the combined sample with available UVW velocity components, in three regimes of metallicity as indicated in the legend. The legend also indicates the color/symbol coding used to indicate likely members of stars in the debris stream associated with the globular cluster  $\omega$  Cen (light-blue circles) and the Helmi et al. stream/trail (light-green squares). See text for more details. Note the presence of the intermediate-metallicity  $(-1.8 < [{\rm Fe/H}] \le -0.8)$  stars both inside and outside the region with low  $(U^2+W^2)^{1/2}$  and high orbital rotation velocities  $((U^2+W^2)^{1/2} \lesssim 100~{\rm km~s^{-1}}, -100 < V < 100~{\rm km~s^{-1}})$ . Stars with errors in any of the individual derived components of motion exceeding 50 km s<sup>-1</sup> are excluded.

Figure 10 also indicates two subsets of (newly-identified) stars in the combined sample that may belong to previously identified structures in phase-space: (1) Likely members of the stream/trail of stars first identified by Helmi et al. (1999) and further populated by stars in the sample considered by Chiba & Beers (2000), indicated by light-green squares, and (2) Possible members of the debris stream associated with the globular cluster  $\omega$  Cen, following the work of Dinescu (2002), Klement et al. (2009), and Majewski et al. (2012), indicated by light-blue circles. Justification for the selection of these stars is provided below.

#### 4.4.2. Distribution of $V_{\phi}$

Figure 11 is a stripe-density diagram of the distribution of  $V_{\phi}$  for our combined sample of stars, for metallicity intervals chosen to emphasize the various kinematic components of the Milky Way, split into two regions of orbital eccentricity,  $e \leq 0.3$  (upper panels; expected to be dominated by members of disk system) and e > 0.3 (lower panels; expected to be dominated by members of the halo system). For each interval in metallicity, the black stripes indicate the mean  $V_{\phi}$  for that sub-sample of stars. The light-green and light-blue stripes indicate stars that we argue below are candidate members of the Helmi et al. stream/trail and the  $\omega$  Cen debris streams, respectively.

Inspection of Figure 11 generally meets with expectation, based on previous work. The low-eccentricity stars for all three sub-panels with [Fe/H] >-1.8 exhibit rotational properties consistent with the disk system of the Milky Way (thin disk, thick disk, and MWTD) while those with [Fe/H]  $\leq -1.8$  appear to be primarily members of the inner- and outer-halo populations. The high-eccentricity stars preferentially populate the sub-panels with  $-1.8 < [{\rm Fe}/{\rm H}] \leq -0.8$  and  $[{\rm Fe}/{\rm H}] \leq -1.8$ , consistent with membership in the inner- and outer-halo populations, with overlapping contributions from each.

It is worth noting that the presence of the putative members of the two debris streams has a potentially large impact on interpretation of the distribution of  $V_{\phi}$  among the high-eccentricity stars with [Fe/H] < -1.8, populating both the central region of the stripe plot (Helmi et al. stream/trail) as well as the high-velocity tail ( $\omega$  Cen debris stream).

#### 4.4.3. $L_{\perp}$ vs. $L_{Z}$

The left-hand panel of Figure 12 shows the distribution of stars in angular momentum space  $(L_{\perp}, L_{Z})$ , where  $L_{\perp} = (L_{X}^{2} + L_{Y}^{2})$ , and  $L_{Z}$  is the vertical angular momentum. The three different ranges of metallicity are identified with different colors, shown in the figure legend.

Several interesting features are seen in this diagram: (1) A clump of stars with [Fe/H] < -1.8 (with the exception of two stars with higher metallicity) located at  $L_{\perp} \sim 2000\text{-}2900$  kpc km s<sup>-1</sup> and  $L_{Z} \sim 800\text{-}1600$  kpc km s<sup>-1</sup> (identified by the solid black box in the figure), and (2) The elongated distribution of stars with [Fe/H]  $\leq -1.8$  located at  $L_{\perp} > 1500$  km s<sup>-1</sup> and  $-400 \lesssim L_{Z} \lesssim 300$  kpc km s<sup>-1</sup> (indicated by the orange box).

The first feature was identified by Helmi et al. (1999), comprising 7 stars with  $[\text{Fe/H}] \leq -1.6$  and 12 stars with  $[\text{Fe/H}] \leq -1.0$ . Chiba & Beers (2000) detected the same stream among their sample of 1203 stars over similar ranges in metallicity. They also identified a possible trail in angular momentum space located at 1250 kpc km s<sup>-1</sup> <  $L_{\perp}$  < 2000 kpc km s<sup>-1</sup> and 1200 kpc km s<sup>-1</sup> <  $L_{Z}$  < 2000 kpc km s<sup>-1</sup>, covering similar metallicity ranges (their Figure 15). This is similar to the trail identified in Figure 12, occupying the region defined by the dotted black box, covering angular momentum ranges  $L_{\perp}$ : [1300, 2000] kpc km s<sup>-1</sup>,  $L_{Z}$ : [1000, 1600] kpc km s<sup>-1</sup>, but at lower metallicities,  $[\text{Fe/H}] \leq -1.8$ . Note that a few stars with metallicities above [Fe/H] = -1.8 are also within the areas delimited by the two boxes associated with the Helmi et al. stream/trail.

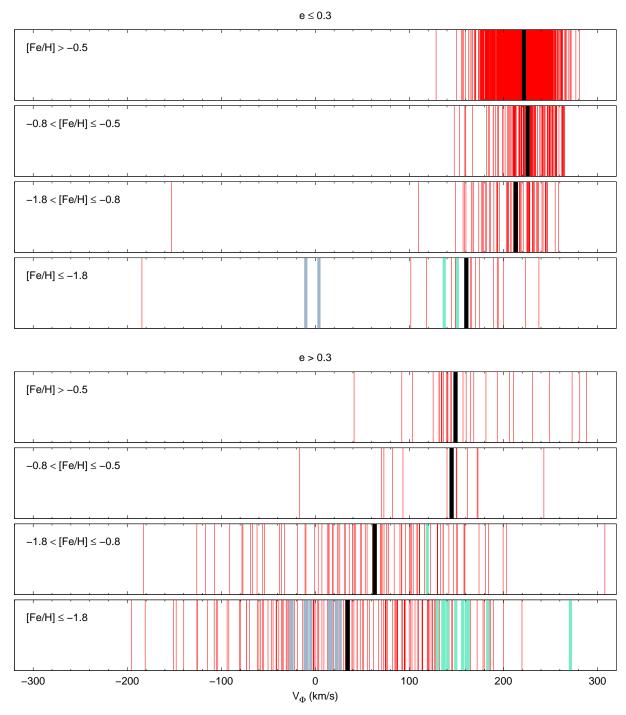


Figure 11. Stripe-density diagrams of the rotational velocity,  $V_{\phi}$ , for stars in the combined sample. The plots are split into low-eccentricity ( $e \leq 0.3$ ; upper panels) and high-eccentricity (e > 0.3; lower panels) sub-samples. Each sub-sample is further divided into metallicity intervals chosen to separate regions dominated by individual components of the disk and halo systems. See text for more details. The black stripes indicate the mean  $V_{\phi}$  for stars in each subset. The light-blue and light-green stripes indicate stars identified as likely members of stars in the debris stream associated with the globular cluster  $\omega$  Cen and the Helmi et al. stream/trail, respectively. Stars with errors in any of the individual derived components of motion exceeding 50 km s<sup>-1</sup> are excluded.

The second feature (orange box) is similar to the excess of stars located in the phase-space noted by Dinescu (2002) within the Chiba & Beers (2000) dataset, who argued that these stars may be part of a debris stream associated with the globular cluster  $\omega$  Cen. Dinescu (2002) found that most of the stars in this region possessed slightly retrograde orbits, as is also the case for  $\omega$ 

Cen, and another two clusters (NGC 362 and NGC 6779) that present similar retrograde orbits. These authors also suggested that the cluster  $\omega$  Cen (shown as a large orange star in the figure), as well as the two other globular clusters, may have been stripped, along with numerous other stars, from a proposed parent dwarf galaxy, now dissolved into the halo-system population.

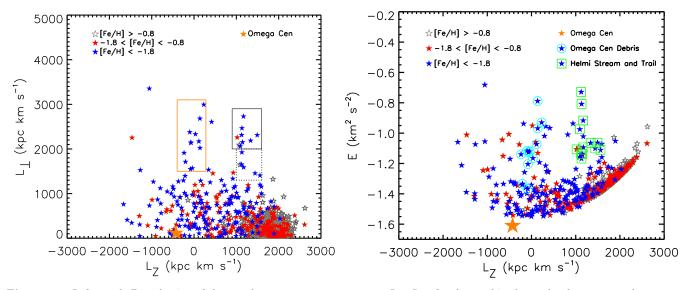


Figure 12. Left panel: Distribution of the angular momentum components  $L_{\perp}$ ,  $L_{Z}$ , for the combined sample of stars, over three ranges of metallicity as shown in the legend. The solid and dotted black boxes denote the region of the clumps that are likely associated with the Helmi et al. stream and trail, respectively. The orange box represents the region of the putative debris stream associated with the  $\omega$  Cen globular cluster. The position of this cluster in this diagram is indicated with the large orange star. Stars with errors in any of the individual derived components of motion exceeding 50 km s<sup>-1</sup> are excluded. Right panel: Lindblad diagram of the distribution of the total energy, E (in units of  $10^5$ ), as a function of the vertical angular momentum,  $L_{Z}$ , over three ranges of metallicity as shown in the legend. Likely members of the Helmi et al. stream and its trail are highlighted with light-blue circles; stars that are likely members of the putative  $\omega$  Cen debris stream are indicated by light-green squares. The position of this cluster in this diagram is indicated with the large orange star. Stars with errors in any of the individual derived components of motion exceeding 50 km s<sup>-1</sup> are excluded.

#### 4.4.4. The Lindblad Diagram, E vs. Lz

The right-hand panel of Figure 12 is the so-called Lindblad diagram for the combined sample, split into the same metallicity ranges as in the left-hand panel. Stars associated with the Helmi et al. (1999) stream and its trail are indicated with light-green boxes around them, while those identified as possible members of the  $\omega$  Cen debris stream are indicated with light-blue circles around them. The Helmi et al. stream and its trail occupy a range of orbital energy E: [-1.2, -0.7] km<sup>2</sup> s<sup>2</sup> (in units of  $10^5$ ), while the putative  $\omega$  Cen stellar debris stream stars have orbital energies spanning E: [-1.35, -0.8] km<sup>2</sup> s<sup>2</sup>

The stars we identify as members of these structures are listed in column (1) of Table 7, along with their coordinates (column 2), photometry (columns 3 and 4), derived metallicity, [Fe/H] (column (5), carbonicity, [C/Fe] (column 6), and absolute carbon abundance, A(C) (column (7), as well as their integrals of motion (columns 9-11). We have verified that these stars are not among those previously identified by Chiba & Beers (2000). There are five CEMP stars among the proposed  $\omega$  Cen debris stream listed in this table, with carbonicities in the range [C/Fe]: [+0.73,+1.47]. The listed absolute carbon abundances for four of these stars, A(C), are all below 7.1; according to the Yoon-Beers diagram of A(C) vs. [Fe/H] (Yoon et al. 2016; Figure 1), they would be classified as CEMP-no stars. There is one star in the proposed  $\omega$  Cen debris stream with A(C) > 7.1, which would suggest its identification as a CEMP-s star. The CEMP sub-classifications are shown in column (8) of Table 7.

In a previous study, Majewski et al. (2012) identified a number of carbon-enhanced stars from the Grid Gi-

ant Stream Survey sample that may be associated with the purported  $\omega$  Cen debris stream. Many of these stars exhibit enhanced [Ba/Fe] ratios, similar to examples of the CEMP-s stars previously identified in the cluster. Given the relative rarity of CEMP stars found in most globulars, they considered this compelling evidence that the field stars they identified were indeed once associated with  $\omega$  Cen. The one CEMP-s and four CEMP-no stream stars in our sample all have [Fe/H] < -2, falling below the lower metallicity range associated with  $\omega$  Cen (Frinchabov et al. 2002). As indicated in the table, 14 of the listed stars have existing high-resolution spectroscopy (most unpublished, from our group). We are in the process of obtaining high-resolution spectroscopy for the stars in this table that presently lack this information; the full sample will be described in due course.

### 5. CARBON-ENHANCED METAL-POOR STARS IN THE COMBINED SAMPLE

Figure 13 shows the distribution of carbonicity, [C/Fe], as a function of [Fe/H], for the stars in the combined sample. The general increase in the level of [C/Fe] with decreasing [Fe/H] is clearly evident, as is the increase in the frequency of CEMP stars with decreasing metallicity below [Fe/H] = -1.0, as has been seen in numerous previous studies. Note that our present study, following most recent work, employs the criterion [C/Fe] > +0.7 (rather than [C/Fe] > +1.0 as commonly used previously) to identify CEMP stars. It is interesting to note the similarity of this figure to that reported by Rossi et al. (1999) (their Figure 2), which made these same points (as did Norris et al. 1997) almost twenty years ago.

One early claim on the existence of an increased fraction of CEMP stars with  $[Fe/H] \le -2.0$  was made by Pa-

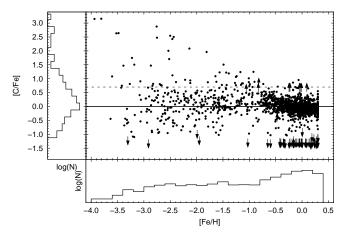
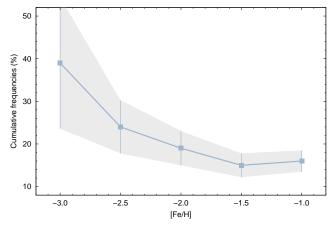


Figure 13. Carbonicity, [C/Fe], as a function of the metallicity, [Fe/H], for the combined sample of stars with available measurements. Downward arrows indicate derived upper limits for [C/Fe], and upward arrows indicate lower limits. The marginal distributions of each variable are shown as histograms. The horizontal dashed line marks the level of carbon enhancement used in this paper to indicate CEMP stars,  $[{\rm C/Fe}] > +0.7$ .

per I (9%), somewhat lower than the fractions reported by Beers et al. (1992) ( $\sim 14\%$ ) and by authors of other contemporaneous studies (e.g., Beers & Christlieb 2005; Cohen et al. 2005; Marsteller et al. 2005; Lucatello et al. 2006), on the order of  $\sim 15$  to 20%. All such estimates were, however, based on relatively small samples, and did not account for the depletion of carbon for stars in advanced evolutionary stages.

Figure 14 shows the distribution of cumulative frequencies for CEMP stars in our combined sample as a function of [Fe/H]. Although the total numbers of CEMP stars in our sample is still small (N = 52), compared to more recent work (e.g., Lee et al. 2013; Placco et al. 2014), the behavior is similar. For completeness, we note that we obtain cumulative frequencies of CEMP stars of 19  $\pm$  4% for stars with [Fe/H]  $\leq$  -2.0, 24  $\pm$  6% for stars with [Fe/H]  $\leq$  -2.5, and 39  $\pm$  15% for stars with [Fe/H]  $\leq -3.0$ . These numbers compare well with the cumulative frequencies of CEMP stars as a function of decreasing metallicity from Lee et al. (2013), but are somewhat lower than the frequencies reported by Placco et al. (2014), an analysis that was based exclusively on stars with results from high-resolution spectroscopic analyses, in particular if one considers their results after corrections for the depletion of carbon in more-evolved stars.

It is interesting to consider the distribution of carbonicity for stars in our combined sample in different ranges of orbital eccentricity, shown in Figure 15. It should be recalled that this sample only includes stars with well-measured kinematics. Note that the low-eccentricity stars shown in the upper panels of this figure possess only a few stars that exceed [C/Fe] = +0.7 (and hence are considered CEMP stars), and all but a few of those are found in the metallicity range  $-1.8 < [Fe/H] \le -0.8$  that is expected to apply to the MWTD population. The relatively large fraction of CEMP stars that may belong to the MWTD has implications for its formation, but larger samples of stars and more detailed modeling is required before a definitive evaluation can be made. The higheccentricity stars in the lower panels includes a few stars in this same metallicity range, but most are probably



**Figure 14.** Cumulative frequencies of CEMP stars, as function of metallicity, [Fe/H], for stars in the combined sample with available measurements. A total of 328 stars with [Fe/H] < -1.0 are included in this diagram, 52 of which are considered CEMP stars, with [C/Fe] > +0.7. The error bars shown are based on Poisson statistics.

associated with the inner-halo population. The majority of the high-eccentricity CEMP stars are found in the metallicity range  $[Fe/H] \leq -1.8$  that is expected to include members from both in the inner- and outer-halo populations.

As noted above, Paper I made the first published claim that there exists an increasing frequency of CEMP stars with distance from the Galactic plane (although most of the weight for this suggestion came from the addition of stars from the sample of Beers et al. 1992). Carollo et. al (2012) confirmed and extended this claim using a much larger sample of stars from SDSS. Here, we examine this question once again, using our combined sample.

The solid black line shown in Figure 16 shows the cumulative fractions of CEMP stars with  $[Fe/H] \leq -2.0$ in our combined sample, as a function of  $Z_{\text{max}}$ , which clearly supports the original claim from Paper I. This figure also shows lines representing stars from this sample divided by their absolute carbon abundance, at A(C) $\geq 7.1$  (red dot-dashed line) or A(C) < 7.1 (blue dashed line), the level suggested by Yoon et al. (2016) to effectively separate CEMP-s stars (those above this value) from the CEMP-no stars (those below this value). Although the numbers of CEMP stars under consideration is still small (as indicated in the legend of the figure), there is a rather dramatic contrast seen between the high-A(C) and low-A(C) stars, commensurate with expectation from the study by Carollo et al. (2014) that the inner-halo population of stars comprises a larger fraction of CEMP-s stars than the outer-halo population, which includes greater relative numbers of CEMP-no stars. A similar exercise applied to the SDSS sample of CEMP stars, now underway, should prove illuminating.

For convenience, and to inspire future high-resolution spectroscopic study and radial-velocity monitoring of the relatively bright CEMP stars we have identified in this work, Table 8 lists the full set of these stars in our combined sample. Column (1) of this table provides the star names, column (2) lists their coordinates, and columns (3) and (4) list the V and B-V colors, respectively. Column (5) lists the derived metallicity,  $[Fe/H]_C$ . Columns (6) and (7) list the carbonicity,  $[C/Fe]_C$ , and

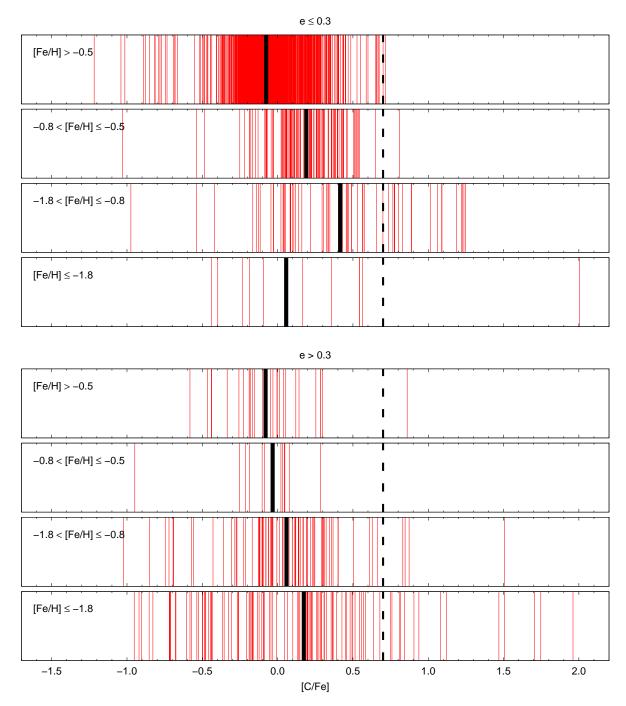
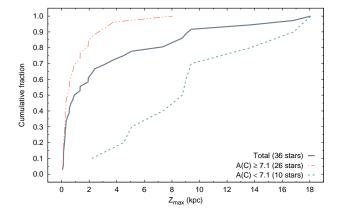


Figure 15. Stripe-density diagrams of the carbonicity, [C/Fe], for stars in the combined sample. The plots are split into low-eccentricity  $(e \le 0.3;$  upper panels) and high-eccentricity (e > 0.3; lower panels) sub-samples. Each sub-sample is further divided into metallicity intervals chosen to separate regions dominated by individual components of the disk and halo systems. See text for more details. The black stripes indicate the mean [C/Fe] for stars in each subset. The vertical dashed line indicates the level of carbon enhancement used in this paper to indicate CEMP stars, [C/Fe] > +0.7. Note the relatively high fraction of CEMP stars among the low-eccentricity stars with metallicities  $-1.8 < [Fe/H] \le -0.8$ , which are likely members of the MWTD. Stars with errors in any of the individual derived components of motion exceeding 50 km s<sup>-1</sup> are excluded.

absolute carbon abundances, A(C), respectively. The sub-classification of these CEMP stars, obtained by application of the Yoon et al. (2016) separation of CEMP-s stars from CEMP-no stars, is listed in column (8). Stars for which a high-resolution spectrum presently exists (roughly half of the stars, mostly unpublished, from our group) are indicated in the table.

Note that a number of known CEMP stars that are included in our sample, HE 1327-2326 and HE 1337-0012 (G 64-12), are not included in this table, since they are sufficiently warm that the carbon enhancement could not be demonstrated based on the medium-resolution spectroscopy we have reported on in this paper. There are likely to be others; see the discussion by Placco et

al. (2016) of the CEMP status of G 64-12 and G 64-37. Furthermore, due to the large errors in estimated surface gravities from our medium-resolution analysis ( $\sim 0.5$  dex), we have not explicitly applied corrections for the depletion of carbon for stars in advanced evolutionary stages (Placco et al. 2014). There are a total of 43 stars in our combined sample with surface gravity estimates  $\log g < 2.0$ , where the corrections can become significant. Of these, seven stars (HE 0013-0522, HE 0111-1118, HE 0117-0201, HE 0147-4926, HE 1313-1916, HE 2243-0244, and BM-005 = HD 4306) would be considered CEMP stars if the corrections were applied. Further attention to these stars is clearly warranted.



**Figure 16.** Cumulative fractions of CEMP stars, as a function of  $Z_{\rm max}$ , for stars with [Fe/H]  $\leq -2.0$  in the combined sample with available measumrements. The solid line applies to the full sample, which has a total of 36 CEMP stars. The blue-dashed line applies to the 26 CEMP stars with absolute carbon abundance,  $A(C) \geq 7.1$ , while the red dashed line applies to those with A(C) < 7.1, a division suggested by Yoon et al. (2016) to distinguish CEMP-s stars from CEMP-no stars. Note the clear difference in the behaviors of these two subsets. See text for more details. Stars with errors in any of the individual derived components of motion exceeding 50 km s<sup>-1</sup> are excluded.

#### 6. SUMMARY AND DISCUSSION

We have re-analyzed spectra from a previously published sample of 1777 bright metal-poor candidates from the HES (Frebel et al. 2006), and obtained new estimates of their atmospheric parameters  $T_{\text{eff}}$ , log g, and [Fe/H], as well as the carbonicity, [C/Fe]. A large number of stars (those with [Fe/H] > -1.0), whose parameters could not be estimated previously with the tools in hand, are included in our results. The carbonicity estimates are refined as well, based on a new grid of carbon-enhanced synthetic spectra. This sample is combined with stars from the 'weak-metal' candidates of Bidelman & Mac-Connell (1973), which were analyzed in a similar fashion by Beers et al. (2014), obtaining a total sample of 2079 stars. We present a chemodynamical analysis of 1892 stars from this combined sample with suitably precise derived kinematic properties, and identify new stars that appear to be associated with the previously suggested halo debris streams from Helmi et al. (1999) and Chiba & Beers (2000), as well as with debris stripped from the globular cluster  $\omega$  Cen, discussed by Dinescu (2002) and Majewski et al. (2012).

It is interesting that a number of the lowest metallicity stars we identify as part of the  $\omega$  Cen debris stream

are CEMP-no stars, which are not expected to form in globular clusters. This may lend credence to previous speculations that the globular cluster  $\omega$  Cen may have been stripped from a parent dwarf galaxy. If one assumes that this is the case, this meets with expectations based on the analysis of other debris streams, such as the Sagittarius Stream, where a number of authors (e.g., deBoer et al. 2015) have suggested that the low-metallicity stars associated with the parent dwarf were less bound than the higher-metallicity stars, and stripped early in its interaction with the Milky Way. Further study of the individual stars which we suggest may be associated with the putative parent dwarf of  $\omega$  Cen is clearly necessary before this possibility can be confirmed.

We identify a clear increase in the cumulative frequency of CEMP stars with declining metallicity, as well as an increase in the fraction of CEMP stars with distance from the Galactic plane, consistent with previous results. We also identify a relatively large number of CEMP stars with kinematics consistent with the MWTD population. This may be understood if the MWTD were, at least in part, assembled from the debris of low-mass dwarf galaxies, where CEMP stars (especially CEMP-no stars) are expected to have formed at high frequency. Although the small number of stars in this sample precludes stronger conclusions, it will be interesting to look for this signature in surveys that include larger samples of likely MWTD stars.

Finally, the 61 CEMP stars in our combined sample are sub-classified into likely CEMP-s and CEMP-no stars, using the absolute carbon abundances, A(C), as suggested recently by Yoon et al. (2016).

High-resolution spectroscopic analyses of our program stars in the debris streams that lack this information, as well as those identified as CEMP stars, are now underway, and will be reported on in due course. Since these stars are among the brightest examples of the CEMP phenomenon known, long-term radial-velocity monitoring of these stars, now underway, should provide valuable information concerning their likely progenitors.

T.C.B., D.C., V.M.P., and S.D. acknowledge partial support for this work from grants PHY 08-22648; Physics Frontier Center/JINA, and PHY 14-30152; Physics Frontier Center/JINA Center for the Evolution of the Elements (JINA-CEE), awarded by the US National Science Foundation. S.R. acknowledges partial support for this work from CAPES, CNPq, FAPESP, and INCT. Y.S.L. acknowledges partial support from the National Research Foundation of Korea to the Center for Galaxy Evolution Research and Basic Science Research Program through the National Research Foundation of Korea (NRF) funded by the Ministry of Science, ICT & Future Planning (NRF-2015R1C1A1A02036658). A.F. acknowledges funding from NSF-CAREER grant AST 12-55160, and from the Silvermam (1968) Family Career Development Professorship. Study at RSAA, ANU, of the Galaxy's metal-poor stars is supported by Australian Research Council grants DP0663562 and DP0984924, which J.E.N. is pleased to acknowledge.

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Star Name	Other Name	HK Survey	LON (°)	LAT (°)	V (mag)	B-V (mag)	J (mag)	J-K (mag)	$E(B-V)_S$ (mag)	$E(B-V)_A$ (mag)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
HE 0000-3017	CD-30:19801		14.8	-79.1	10.36	0.40	9.546	0.275	0.015	0.02
HE 0000-4401	CD-44:15435		330.2	-70.7	10.66	0.55	9.717	0.302	0.010	0.01
HE 0000-5703	HD 225032		315.9	-59.1	9.40	0.24	9.001	0.109	0.010	0.01
HE 0001-4157	CD-42:16578		333.7	-72.5	10.52	0.67	9.385	0.376	0.012	0.01
HE 0001-4449	CD-45:15231		328.4	-70.2	11.14	0.49	10.192	0.296	0.012	0.01
HE 0001-5640	CD-57:8943		315.9	-59.5	10.50	0.41	9.571	0.285	0.011	0.01
HE 0002-3233		CS 22961-023	2.9	-78.8	12.00	0.41	11.068	0.323	0.016	0.02
HE 0002-3822	CD-38:15729		341.8	-75.3	10.79	0.53	9.808	0.335	0.016	0.02
HE 0002-5625			315.9	-59.8	12.60	0.69	11.425	0.424	0.010	0.01
HE 0003-0503	BD-05:6112		95.0	-65.2	10.70	0.74	8.990	0.602	0.030	0.03

Star Name	Note	$RV_M$	$RV_R$	$RV_H$	KP	HP2	GP	$Teff_S$	$\log_S$	$[\mathrm{Fe}/\mathrm{H}]_S$	$Teff_R$	$\log_R$	$[\mathrm{Fe}/\mathrm{H}]_R$	$Teff_H$	$\log_H$	$[\mathrm{Fe}/\mathrm{H}]_H$	$Teff_C$	$\log_C$	$[\mathrm{Fe}/\mathrm{H}]_C$	TYPE
(1)	(2)	$ \begin{array}{c} (\text{km s}^{-1}) \\ (3) \end{array} $	$(\text{km s}^{-1})$ (4)	$(\text{km s}^{-1})$ (5)	(Å) (6)	$ \begin{array}{c} (A) \\ (7) \end{array} $	(A) (8)	(K) (9)	$ \begin{array}{c} (cgs)\\ (10) \end{array} $	(11)	(K) (12)	$ \begin{array}{c} (cgs)\\ (13) \end{array} $	(14)	(K) (15)	$ \begin{array}{c} (cgs)\\ (16) \end{array} $	(17)	(K) (18)	$ \begin{array}{c} (cgs)\\ (19) \end{array} $	(20)	(21)
HE 0000-3017		21.8			6.57	4.99	1.89	6666	3.99	-0.18				6875	4.60	+0.23	6776	4.41	+0.20	D
HE 0000-4401		-1.0	-2.1		8.29	3.60	3.55	6199	3.77	-0.13	6168	4.12	-0.05				6227	4.14	+0.26	D
HE 0000-5703		30.3	26.4		2.59	9.37	1.16	7758	4.08	-0.03	7474	4.25	-0.10				8060	4.53	+0.30	D
HE 0001-4157		2.0	-10.8		9.15	1.73	5.47	5725	3.81	-0.10	5710	3.99	+0.10				5670	4.19	+0.30	D
HE 0001-4449		5.0	-9.4		7.53	3.53	2.92	6227	3.65	-0.58	5959	3.66	-0.62				6260	3.99	-0.28	TO
HE 0001-5640		-14.0	[23.3]		7.80	4.06	2.82	6377	3.86	-0.26	6176	3.65	+0.14				6436	4.25	+0.11	D
HE 0002-3233		61.1			1.30	4.24	0.48	6349	3.65	-2.54							6404	4.00	-2.70	TO
HE 0002-3822		-8.0			7.80	3.39	3.20	6132	3.87	-0.59							6148	4.26	-0.30	D
HE 0002-5625		22.4			9.25	1.65	5.55	5750	4.02	-0.14							5699	4.45	+0.25	D
HE $0003-0503$		30.6	34.4		6.82	3.39	4.21	5972	2.63	-1.10	(4793)	(3.46)	(-0.19)				5960	2.72	-0.92	G

Note. — Parentheses around a listed quantity indicate that it is regarded with some suspicion, while brackets indicate that it is considered as possibly flawed. See text for more details.

Star Name (1)	$[Fe/H]_S$ (2)	$[C/Fe]_S$ (3)	DETECT (4)	CC (5)	EQW (6)		$[C/Fe]_C$ (8)	A(C) (9)	CEMP (10)
HE 0000-3017	-0.18	+0.15	D	0.995	2.33	+0.20	-0.12	8.52	N
HE 0000-4401	-0.13	+0.08	D	0.996	4.14	+0.26	-0.19	8.50	N
HE $0000-5703$	-0.03		X			+0.30			X
HE $0001-4157$	-0.10	+0.04	D	0.999	6.42	+0.30	-0.23	8.50	N
HE 0001-4449	-0.58	+0.25	D	0.994	3.26	-0.28	0.00	8.15	N
HE 0001-5640	-0.26	+0.19	D	0.997	3.48	+0.11	-0.07	8.46	N
HE 0002-3233	-2.54	+1.29	D:	0.866	0.76	-2.70	+1.11	6.84	U
HE 0002-3822	-0.59	+0.17	D	0.994	3.66	-0.30	-0.09	8.04	N
HE 0002-5625	-0.14	-0.03	D	0.999	6.71	+0.25	-0.30	8.38	N

Table 3 — Continued

Star Name (1)	$[Fe/H]_S$ (2)	$[C/Fe]_S$ (3)	DETECT (4)	CC (5)	EQW (6)	$ [Fe/H]_C $ (7)	$[C/Fe]_C$ (8)	A(C) (9)	CEMP (10)
HE 0003-0503	-1.10	+1.42	D	0.971	5.20	-0.92	+1.25	8.75	С

Note. — A ":" following the DETECT code indicates that either the CC or EQW parameters do not meet the minimum required value for confident detection. See text for more details.

Star Name	Type	$\pi_{\rm HIP}$ (mas)	$\sigma_{\pi_{\text{HIP}}}$ (mas)	$\sigma_{\pi_{ m HIP}}/\pi_{ m HIP}$	$D_{\rm HIP}$ (kpc)	$\sigma_{\mathrm{D_{HIP}}}$ (kpc)	$D_{\rm pho}$ (kpc)	$\sigma_{\mathrm{D}_{\mathrm{pho}}}$ (kpc)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(8)
HE 0035-0834	D	9.51	1.11	0.12	0.105	0.012	0.084	0.013
HE 0115-5135	G	6.67	1.30	0.19	0.150	0.029	0.285	0.043
HE 0134-2142	D	7.57	1.55	0.20	0.132	0.027	0.150	0.023
HE 0246-5114	D	4.31	0.66	0.15	0.232	0.036	0.199	0.030
HE 0422-4205	D	5.61	1.13	0.20	0.178	0.036	0.158	0.024
HE 0429-4149	D	13.80	0.95	0.07	0.072	0.005	0.064	0.010
HE 0435-4121	D	5.33	1.06	0.20	0.188	0.037	0.181	0.027
HE 0455-3157	D	10.05	1.31	0.13	0.100	0.013	0.076	0.011
HE 0457-3209	D	6.42	1.18	0.18	0.156	0.029	0.134	0.020
HE 0511-4835	D	11.23	0.92	0.08	0.089	0.007	0.099	0.015
HE 0520-5617	D	4.55	0.50	0.11	0.220	0.024	0.142	0.021
HE 1108-3217	D	6.80	0.60	0.09	0.147	0.013	0.049	0.007
HE 1120-0858	D	8.32	1.49	0.18	0.120	0.022	0.177	0.027
HE 1211-3038	D	13.81	1.50	0.11	0.072	0.008	0.100	0.015
HE 1223-0133	TO	9.05	1.11	0.12	0.110	0.014	0.125	0.019
HE 1349-1827	$_{\mathrm{FHB}}$	4.57	0.76	0.17	0.219	0.036		
HE 1411-0542	TO	23.33	0.53	0.02	0.043	0.001	0.022	0.003
HE 1450-1808	D	25.31	1.85	0.07	0.040	0.003	0.027	0.004
HE 2231-0149	D	9.38	0.47	0.05	0.107	0.005	0.283	0.042
HE 2255-1758	D	5.14	0.88	0.17	0.195	0.033	0.175	0.026
HE 2307-4543	D	22.13	1.31	0.06	0.045	0.003	0.046	0.007
HE 2327-4203	D	10.17	1.19	0.12	0.098	0.012	0.145	0.022
HE 2332-4431	D	10.06	1.78	0.18	0.099	0.018	0.127	0.019
HE 2333-4047	D	8.67	1.65	0.19	0.115	0.022	0.110	0.017
HE 2333-4325	TO	6.47	1.31	0.20	0.155	0.031	0.149	0.022

Table 5
Distance Estimates and Proper Motions

Star Name	Type	$D_{\rm pho}$	$\sigma_{\mathrm{D}_{\mathrm{pho}}}$	$\mu_{\alpha}$	$\mu_{\delta}$	$\sigma_{\mu_{\alpha}}$	$\sigma_{\mu_\delta}$	PM Source
(1)	(2)	(kpc) (3)	(kpc) (4)	$     (mas yr^{-1})      (5) $	$ \begin{array}{c} (\text{mas yr}^{-1}) \\ (6) \end{array} $	$ \begin{array}{c} (\text{mas yr}^{-1}) \\ (7) \end{array} $	$     (mas yr^{-1})      (8) $	(9)
HE 0000-3017	D	0.249	0.037	20.0	1.3	1.1	0.8	U
HE 0000-4401	D	0.174	0.026	23.6	11.5	1.0	2.9	U
HE 0000-5703	D	0.253	0.038	13.9	2.6	0.9	1.0	U
HE 0001-4157	D	0.107	0.016	-19.9	-54.8	0.8	0.8	U

Table 5 — Continued

Star Name	Type	$D_{\rm pho}$	$\sigma_{ m D_{pho}}$	$\mu_{\alpha}$	$\mu_{\delta}$	$\sigma_{\mu_{lpha}}$	$\sigma_{\mu_\delta}$	PM Source
(1)	(2)	(kpc) (3)	(kpc) (4)	$ \begin{array}{c} (\text{mas yr}^{-1}) \\ (5) \end{array} $	$ \begin{array}{c} (\text{mas yr}^{-1}) \\ (6) \end{array} $	$ \begin{array}{c} (\text{mas yr}^{-1}) \\ (7) \end{array} $	$ \begin{array}{c} (\text{mas yr}^{-1}) \\ (8) \end{array} $	(9)
HE 0001-4449	ТО	0.223	0.033	-1.0	0.7	1.1	1.0	U
HE 0001-5640	D	0.249	0.037	40.2	-13.0	1.0	1.2	U
HE 0002-3233	TO	0.430	0.065	57.4	-31.6	1.3	1.5	U
HE 0002-3822	D	0.158	0.024	-36.2	-8.9	1.0	1.5	U
HE 0002-5625	D	0.258	0.039	11.8	-4.9	1.4	1.4	U
HE 0003-0503	G	0.163	0.024	9.5	-1.5	1.8	1.3	U

Note. — Sources of proper motions: U = UCAC4, S = SPM4, H = Hipparcos or Tycho II

Table 6
Space Motions and Orbital Parameters

Star Name	R	Z (len a)	U (1 g=1)	V (1,000, 0=1)	(lama, a=1)	$\sigma(U)$	$\sigma(V)$	$\sigma(W)$	$V_{\rm R}$	$V_{\phi}$	r <sub>peri</sub>	r <sub>apo</sub>	Zmax	e	INOUT
(1)	(kpc) (2)	(kpc) (3)	$(\text{km s}^{-1})$ (4)	$(\text{km s}^{-1})$ (5)	$(\text{km s}^{-1})$ (6)	$(\text{km s}^{-1})$ (7)	$(\text{km s}^{-1})$ (8)	$(\text{km s}^{-1})$ (9)	$(\text{km s}^{-1})$ (10)	$(\text{km s}^{-1})$ (11)	(kpc) (12)	(kpc) (13)	(kpc) (14)	(15)	(16)
HE 0000-3017	8.454	-0.244	8	4	-19	4	2	10	9	224	8.30	8.82	0.36	0.03	1
HE 0000-4401	8.450	-0.164	12	12	3	4	2	5	12	232	8.34	9.32	0.18	0.06	1
HE 0000-5703	8.407	-0.217	-3	-2	-20	3	2	4	-6	218	8.10	8.47	0.34	0.02	1
HE 0001-4157	8.471	-0.102	-26	-6	26	3	3	5	-27	213	7.50	8.99	0.35	0.09	1
HE 0001-4449	8.436	-0.210	-7	15	16	2	1	5	-8	235	8.39	9.51	0.32	0.06	1
HE 0001-5640	8.410	-0.215	32	-17	18	7	6	9	30	203	6.90	8.81	0.33	0.12	1
HE 0002-3233	8.417	-0.422	52	-98	-68	12	17	10	52	122	3.54	8.84	1.61	0.43	1
HE 0002-3822	8.462	-0.153	-34	19	21	5	2	10	-34	239	8.04	10.45	0.35	0.13	1
HE 0002-5625	8.407	-0.223	-6	-7	-12	4	4	9	-9	213	7.72	8.48	0.27	0.05	1
HE 0003-0503	8.506	-0.148	-2	22	-26	2	2	5	0	242	8.51	10.19	0.43	0.09	1

Note. — INOUT takes on a value of "1" if the star is accepted for the kinematic analysis, "0" if not.

Star Name	RA (2000) DEC	V	B-V	$[\mathrm{Fe}/\mathrm{H}]_C$	$[\mathrm{C}/\mathrm{Fe}]_C$	A(C)	Class	${ m L}_{\perp}$	$L_Z$	E
(1)	(2)	(mag) $(3)$	(mag) $(4)$	(5)	(6)	(7)	(8)	$(\text{kpc km s}^{-1})$ (9)	$(\text{kpc km s}^{-1})$	$(10^5 \text{ km}^2 \text{ s}^{-2})$ $(11)$
		( )	( )		et al. Debi	is Stream			· /	
HE 0012-5643a	00 15 17.1 -56 26 27	12.29	0.46	-2.97	+1.41	6.87	$\frac{1}{\text{CEMP-}s}$	2466	1132	-0.81
HE 0017-3646	00 20 26.1 -36 30 20	13.02	0.40 $0.54$	-2.48	-0.54	5.41		2729	1169	-0.92
	00 -0 -0 00 00 -0									
HE 0048-1109 <sup>a</sup>	$00\ 51\ 26.4\ -10\ 53\ 14$	10.83	0.49	-1.97	-0.10	6.36		2187	1337	-1.07
BM-028	$02\ 47\ 37.4\ -36\ 06\ 27$	9.94	0.46	-1.58	+0.24	7.31		2259	1020	-1.11
HE 0324-0122	$03\ 27\ 02.3\ +01\ 32\ 33$	12.13	0.72	-2.11	+0.37	6.69		2311	1493	-1.06
BM-209	$14\ 36\ 48.5\ -29\ 06\ 47$	8.02	0.64	-1.91	+0.06	6.66		2152	1146	-1.14
HE 2215-3842	$22\ 18\ 20.9\ -38\ 27\ 55$	13.40	0.68	-2.24	+0.45	6.64		2062	1093	-0.97
BM-308	$22\ 37\ 08.1\ -40\ 30\ 39$	9.11	0.79	-2.12	-0.10	6.39		2313	1124	-0.73

Table 7 — Continued

Star Name	RA (2000) DEC	V	B-V	$[\mathrm{Fe}/\mathrm{H}]_C$	$[\mathrm{C}/\mathrm{Fe}]_C$	A(C)	Class	$L_{\perp}$	$L_Z$	E
(1)	(2)	(mag) $(3)$	(mag) $(4)$	(5)	(6)	(7)	(8)	$ \begin{array}{c} (\text{kpc km s}^{-1}) \\ (9) \end{array} $	$(\text{kpc km s}^{-1})$ (10)	$(10^5 \text{ km}^2 \text{ s}^{-2})$ $(11)$
HE 0033-2141 <sup>a</sup>	00 35 42.1 -21 24 58	12.29	0.72	-2.73	+0.15	5.85		1407	1559	-1.11
HE 0050-0918	$00\ 52\ 41.7\ -09\ 02\ 23$	11.06	0.71	-2.08	-0.26	6.09		1653	1122	-1.14
HE 1210-2729 <sup>a</sup>	$12\ 13\ 07.9\ -27\ 45\ 50$	12.54	0.86	-2.95	-0.18	5.31		1589	1172	-1.09
BM-235	$17\ 52\ 35.9\ -69\ 01\ 45$	9.48	1.05	-1.83	-0.44	6.39		1925	1130	-1.17
HE 2234-4757 <sup>a</sup>	$22\ 37\ 20.4\ -47\ 41\ 38$	12.39	0.92	-2.59	-0.26	5.57		1455	1441	-1.10
				$\omega$ C	en Debris	Stream				
HE $0007-1752^{a}$	$00\ 10\ 17.6\ -17\ 35\ 38$	11.54	0.65	-2.47	+0.54	6.50		2333	33	-1.17
$\rm HE~0039\text{-}0216^{a}$	$00\ 41\ 53.6\ -02\ 00\ 33$	13.35	0.37	-2.62	+1.40	7.21	CEMP-s	2993	230	-0.93
HE $0429-4620$	$04\ 30\ 48.6\ -46\ 13\ 53$	13.10	0.62	-2.42	+0.58	6.59		1912	-236	-1.14
HE 1120-0153 <sup>a</sup>	$04\ 38\ 55.7\ -13\ 20\ 48$	11.68	0.44	-2.39	-0.17	5.89		2023	140	-0.97
BM-056	$05\ 10\ 49.6\ -37\ 49\ 03$	9.50	0.86	-2.00	-0.16	7.62		2377	-102	-1.12
$BM-121^{a}$	$09\ 53\ 39.2\ -22\ 50\ 08$	9.39	1.16	-2.69	-0.53	5.65		1550	-84	-1.37
HE 1120-0153 <sup>a</sup>	$11\ 22\ 43.2\ -02\ 09\ 36$	11.68	0.44	-2.88	+1.09	6.64	CEMP-no	1569	-41	-1.12
HE 1401-0010 <sup>a</sup>	$14\ 04\ 03.4\ -00\ 24\ 25$	13.51	0.41	-2.44	+0.73	6.72	CEMP-no	2681	138	-0.79
HE 2138-0314 <sup>a</sup>	$21\ 40\ 41.5\ -03\ 01\ 17$	13.23	0.57	-3.07	+0.90	6.27	CEMP-no	2138	-70	-1.13
HE 2315-4306	$23\ 18\ 19.0\ -42\ 50\ 27$	11.28	0.65	-2.36	+0.37	6.43		1712	-202	-1.33
HE 2319-5228 <sup>a</sup>	$23\ 21\ 58.1\ -52\ 11\ 43$	13.25	0.90	-3.39	+1.47	6.51	CEMP-no	2170	114	-1.07
HE 2322-6125 <sup>a</sup>	$23\ 25\ 34.6\ -61\ 09\ 10$	12.47	0.63	-2.50	+0.17	6.10		2145	-80	-1.20

<sup>&</sup>lt;sup>a</sup> A high-resolution spectrum exists for this star.

Star Name	RA (2000) DEC	V	B - V	$[\mathrm{Fe/H}]_C$	$[C/Fe]_C$	A(C)	Class
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
HE 0013-0257 <sup>a</sup>	00 16 04.2 -02 41 05	12.71	0.79	-3.42	0.75	5.76	CEMP-no
HE 0015-0048 <sup>a</sup>	00 18 01.4 +01 05 08	13.20	0.76	-2.70	0.81	6.54	CEMP-no
HE 0027-1221 <sup>a</sup>	00 30 31.1 -12 05 11	13.03	0.67	-2.50	2.55	8.47	CEMP-s
HE 0030-5441	00 33 20.1 -54 24 43	10.62	0.36	-1.26	0.77	7.94	CEMP-s
HE 0038-0345	00 41 09.3 -03 29 00	11.42	0.78	-2.66	0.76	6.53	CEMP-no
HE 0039-2635 <sup>a</sup>	00 41 39.8 -26 18 54	12.18	1.15	-3.94	3.15	7.64	CEMP-s
HE 0054-2542 <sup>a</sup>	00 57 18.1 -25 26 10	12.63	0.95	-3.52	2.63	7.54	CEMP-s
HE 0058-3449	01 01 21.7 -34 33 11	13.21	0.71	-2.22	0.96	7.17	CEMP-s
HE 0228-0149	02 30 56.0 -01 36 03	12.68	0.51	-1.79	0.87	7.52	CEMP-s
$BM-024^{a}$	$02\ 39\ 02.5\ -49\ 27\ 46$	10.11	0.77	-2.52	1.71	7.62	CEMP-s
							0
HE 0247-0254	$02\ 50\ 16.9\ -02\ 41\ 50$	13.38	0.60	-1.49	1.51	8.45	CEMP- $s$
$BM-043^{a}$	$04\ 13\ 13.1\ +06\ 36\ 02$	9.10	1.29	-2.46	2.43	8.40	CEMP-s
HE 0412-0138	$04\ 15\ 33.5\ +01\ 45\ 58$	10.50	0.75	-1.52	0.78	7.69	CEMP- $s$
HE 0414-0343 <sup>a</sup>	$04\ 17\ 16.5\ -03\ 36\ 31$	10.63	1.09	-3.23	1.75	6.95	CEMP-s
HE 0420-0123 <sup>a</sup>	$04\ 23\ 14.5\ +01\ 30\ 48$	11.35	0.79	-2.75	2.47	8.15	CEMP-s
HE 0440-3426 <sup>a</sup>	$04\ 42\ 08.2\ -34\ 21\ 14$	11.42	1.18	-2.46	1.12	7.09	CEMP-no
HE 0448-4806 <sup>a</sup>	$04\ 49\ 33.1\ -48\ 01\ 08$	12.78	0.62	-2.76	2.88	8.55	CEMP-s
HE 0543-5350	$05\ 44\ 42.0\ -53\ 49\ 01$	11.97	0.48	-2.39	0.88	6.92	CEMP-s
BM-074	$06\ 04\ 07.1\ -20\ 37\ 14$	8.69	0.48	-1.01	1.01	8.43	CEMP-s
BM-083	$06\ 34\ 55.5\ -45\ 18\ 30$	7.19	0.81	-2.12	0.94	7.25	CEMP-s
BM-091	$07\ 34\ 28.9\ -13\ 52\ 13$	6.70	0.47	-1.24	1.09	8.28	CEMP-s
HE 0900-0001	$09\ 02\ 41.3\ -00\ 13\ 35$	12.70	0.39	-1.64	1.09	7.88	CEMP-s
BM-107	$09\ 23\ 02.1\ -49\ 03\ 31$	8.89	0.33	-1.01	0.88	8.30	CEMP- $s$

Table 8 — Continued

Star Name	RA (2000) DEC	V	B - V	$[\mathrm{Fe/H}]_C$	$[C/Fe]_C$	A(C)	Class
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
HE 0920-0506	09 23 06.0 -05 19 33	11.50	0.68	-1.39	1.19	8.23	CEMP-s
HE 1109-0025	11 12 06.7 -00 41 30	10.64	0.03 $0.45$	-1.39 $-1.25$	1.19	8.24	CEMP-s
HE 1114-2757	11 17 00.8 -28 14 12	10.47	0.62	-1.27	0.85	8.00	CEMP-s
HE 1119-0218	11 22 27.0 +02 02 10	11.39	0.50	-1.44	1.22	8.21	CEMP-s
HE 1143-0114 <sup>a</sup>	11 46 31.7 +00 57 30	12.42	0.53	-2.44	2.50	8.50	CEMP-s
HE 1154-2951 <sup>a</sup>	11 56 39.4 -30 08 31	10.49	0.45	-2.54	2.00	7.90	CEMP-s
HE 1225-0155 <sup>a</sup>	12 28 04.8 +01 38 33	12.95	0.74	-2.68	0.77	6.52	CEMP-no
HE 1243-2408 <sup>a</sup>	$12\ 45\ 54.1\ -24\ 24\ 46$	10.85	0.81	-2.84	0.75	6.34	CEMP-no
HE 1300-2739	$13\ 03\ 19.8\ -27\ 55\ 54$	10.19	0.72	-1.63	0.89	7.69	CEMP-s
HE 1327-2116 <sup>a</sup>	$13\ 30\ 19.4\ -21\ 32\ 03$	11.59	1.11	-3.48	2.64	7.59	CEMP-s
HE 1350-2955	$13\ 53\ 05.7\ -30\ 10\ 11$	10.36	0.49	-1.14	0.83	8.12	CEMP-s
HE $1403-2207$	$14\ 06\ 41.5\ -22\ 21\ 23$	9.74	0.22	-1.16	0.78	8.05	CEMP-s
HE 1410-0125	$14\ 13\ 24.8\ -01\ 39\ 53$	12.61	1.25	-2.87	1.47	7.03	CEMP-no
HE 1412-0847	$14\ 14\ 57.4\ -09\ 01\ 45$	12.58	0.60	-1.81	1.96	8.58	CEMP-s
HE 1457-1215 <sup>a,b</sup>	$15\ 00\ 30.9\ -12\ 26\ 57$	10.18	0.55	-1.56	1.23	8.09	CEMP-s
BM-218	$15\ 47\ 47.9\ -57\ 48\ 30$	8.93	0.65	-1.43	0.77	7.77	CEMP-s
$BM-285^{a}$	$21\ 06\ 02.9\ -61\ 33\ 45$	9.81	0.73	-2.12	0.84	7.15	CEMP-s
DM 007	21 00 04 0 77 17 20	0.05	0.04		1.04	0.50	CELLED
BM-287	21 09 04.6 -55 17 36	8.35	0.34	-1.17	1.24	8.50	CEMP-s
HE 2138-0314 <sup>a</sup>	21 40 41.6 -03 01 17	13.23	0.57	-3.07	0.91	6.27	CEMP-no
HE 2155-2043 <sup>a</sup> HE 2214-1654 <sup>a</sup>	21 58 42.3 -20 29 16 22 17 01.7 -16 39 27	13.19 $13.19$	$0.75 \\ 0.81$	-3.27 $-3.60$	$0.81 \\ 1.08$	$5.97 \\ 5.91$	CEMP-no CEMP-no <sup>c</sup>
BM-309	22 37 51.0 -60 05 41	8.69	$0.61 \\ 0.43$	-3.00 $-1.00$	0.74	8.17	CEMP-no
HE 2235-5058 <sup>a</sup>	22 38 08.0 -50 42 41	12.92	$0.43 \\ 0.92$	-1.00 $-3.81$	$\frac{0.74}{3.16}$	7.78	CEMP-s
HE 2240-1647	22 42 57.0 -16 31 20	12.92 $12.68$	0.92 $0.78$	-3.81 $-3.18$	$\frac{3.10}{1.51}$	6.75	CEMP-no
HE 2250-4229 <sup>a</sup>	22 53 39.7 -42 13 04	11.91	0.75	-3.13 $-2.83$	0.82	6.42	CEMP-no
HE 2319-5228 <sup>a</sup>	23 21 58.2 -52 11 43	13.25	0.75	-2.33 $-3.39$	1.47	6.51	CEMP-no
HE 2342-3815	23 45 08.3 -37 59 15	13.20 $11.10$	0.36	-3.33 $-1.12$	0.83	8.14	CEMP-s
11L 20-12-0010	20 10 00.0 -07 00 10	11.10	0.50	1.12	0.00	0.14	CLIVII -3
HE 2343-1817	$23\ 46\ 14.7\ -18\ 00\ 47$	11.90	0.64	-2.14	2.09	8.38	CEMP-s
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<sup>a A high-resolution spectrum exists for this star.
b This star is also BM-209.
b This star is a rediscovery of CS 22892-052, a known CEMP-r star.</sup>